#### - For receiving Office use only . Rec'd PCT PTO 15 DEC 1999 International Application N International Filing Date The undersigned requests that the present international application be processed Name of receiving Office and "PCT International Application" according to the Patent Cooperation Treaty. Applicant's or agent's file reference (if desired) (12 characters maximum) N.74722 MAM TITLE OF INVENTION Box No. I PLASTIC COMPOSITIONS HAVING MINERAL-LIKE APPEARANCE APPLICANT Box No. II Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.) This person is also inventor. ATOTHAAS HOLDING C.V. Telephone No. SCHIPHOLPOORT 60, 2034 MB HAARLEM, THE NETHERLANDS Facsimile No. Teleprinter No. State (i.e. country) of nationality: State (i.e. country) of residence: This person is applicant all designated States except the United States of America the States indicated in the Supplemental Box all designated the United States for the purposes of: of America only States FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S) Box No. III Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.) This person is: applicant only YANG, SHIJUN **1803 PERRIN COURT** MAPLE GLEN applicant and inventor PENNYSYLVANIA 19002 inventor only (If this check-box is marked, do not fill in below.) U.S.A. State (i.e. country) of nationality: State (i.e. country) of residence: US This person is applicant all designated all designated States except the United States of America the United States of America only the States indicated in the Supplemental Box for the purposes of: Further applicants and/or (further) inventors are indicated on a continuation sheet. Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE The person identified below is hereby/has been appointed to act on behalf X agent common representative of the applicant(s) before the competent International Authorities as: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) Name and address: Telephone No. 0171 405 3292 MARSHALL, MONICA ANNE, J.A. KEMP & CO. Facsimile No. 14 SOUTH SQUARE. 0171 242 8932 GRAY'S INN, LONDON WC1R 5LX. UNITED KINGDOM. Teleprinter No. Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to

indicate a special address to which correspondence should be sent.

Sheet No. 2

Continuati n f Box No. III FURTHER APPLICANTS AND/OR (FURTHER) INVENTORS				
If none of the following sub-boxes is used, this sheet is not to be included in the request.				
Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)  KEATING, PAUL JOSEPH 2727 AVENUE "A"  NEWPORTVILLE  PENNSYLVANIA 19056  U.S.A.	This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)			
State (i.e. country) of nationality:  US  State (i.e. country) of re	sidence: US			
	United States the States indicated in America only the Supplemental Box			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)	This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)			
State (i.e. country) of nationality:  State (i.e. country) of re	sidence:			
	United States the States indicated in the Supplemental Box			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)	This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)			
State (i.e. country) of nationality:  State (i.e. country) of res	sidence:			
	United States the States indicated in America only the Supplemental Box			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)	This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)			
State (i.e. country) of nationality:  State (i.e. country) of res	idence:			
	United States the States indicated in the Supplemental Box			
Further applicants and/or (further) inventors are indicated on another continuation she	et.			

		Sheet No.	<b>.</b> 3.				
Box N	io.V	DESIGNATION OF STATES					
The fo	llowi	ng designations are hereby made under Rule 4.9(a) (m	ark th	e appli	icable check-baxes; at least one must be marked):		
Regio	nal P	atent					
X	<u> </u>						
X	EA	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and f the PCT					
X							
X	OA	GA Gabon, GN Guinea, ML Mali, MR Mauritania, which is a member State of OAPI and a Contracting	NE I State	Niger, of the	Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, SN Senegal, TD Chad, TG Togo, and any other State PCT (if other kind of protection or treatment desired, specify		
Natio	nal P	atent (if other kind of protection or treatment desired	, spec	ify on	dotted line):		
X	AL	Albania	X	LT	Lithuania		
X	AM	Armenia	X	LU	Luxembourg		
X	AT	Austria	X	LV	Latvia		
X	ΑU	Australia	X	MD	Republic of Moldova		
X	ΑZ	Azerbaijan	X	MG	Madagascar		
X	BA	Bosnia and Herzegovina	X	MK	The former Yugoslav Republic of Macedonia		
X	BB	Barbados					
X	BG	Bulgaria	X	MN	Mongolia		
X	BR	Brazil	X	MW	Malawi		
X	BY	Belarus	X	MX	Mexico		
X	CA	Canada	X	NO	Norway		
X	CH:	and LI Switzerland and Liechtenstein	X	NZ	New Zealand		
X	CN	China	X	PL	Poland		
X	CU	Cuba	X	PT	Portugal		
X	CZ	Czech Republic	X	RO	Romania		
X	DE	Germany	X	RU	Russian Federation		
X	DK	Denmark	X	SD	Sudan		
X	EE	Estonia	X	SE	Sweden		
X	ES	Spain	X	SG	Singapore		
X	FI	Finland	X	SI	Slovenia		
X		United Kingdom	X	SK	Slovakia		
X	GE	Georgia	X	SL	Sierra Leone		
X	GH	Ghana	X	TJ	Tajikistan		
X		Gambia	X	TM	Turkmenistan		
X	GW	Guinea-Bissau	X	TR	Turkey		
X	HU	Hungary	X	TT	Trinidad and Tobago		
X	ID	Indonesia	X	UA	Ukraine		
X	IL	Israel	X	UG	Uganda		
X	IS	Iceland	X	US	United States of America		
X	JP	Japan			•••••		
X	KE	Kenya	X	UZ	Uzbekistan		
X		Kyrgyzstan	X	VN	Viet Nam		
X	KP	Democratic People's Republic of Korea	X		Yugoslavia		
			X	ZW	Zimbabwe		

Lesotho ..... In addition to the designations made above, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except the designation(s) of

The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

LC Saint Lucia

LK Sri Lanka

LR Liberia

X

X

X LS

KR Republic of Korea .....

Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet:

CY Cyprus

#### Supplemental B x If the Supplemental Box is not used, this sheet need not be included in the request.

#### Use this box in the following cases:

1. If, in any of the Boxes, the space is insufficient to furnish all the information:

in particular:

- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available:
- (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked:
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America:
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents:
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "Continuation" or "Continuationin-part":
- (vi) if there are more than three earlier applications whose priority is claimed:
- 2. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty:

in such case, write "Continuation of Box No..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient;

in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below;

in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;

in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. III" as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;

in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;

in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;

in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.

in such case, write "Statement Concerning Non-Prejudicial Disclosures or Exceptions to Lack of Novelty" and furnish that statement below.

#### **CONTINUATION OF BOX IV**

CANNON, David Lovell; ALLEN, William Guy Fairfax; GOLDIN, Douglas Michael; ELLIS-JONES, Patrick George Armine; BARLOW, Roy James; SENIOR, Alan Murray; BENTHAM, Stephen; AYERS, Martyn Lewis Stanley; WOODS, Geoffrey Corlett; CRESSWELL Thomas Anthony; MARSHALL, Monica Anne; WEBB, Andrew John; SEXTON, Jane Helen; NICHOLLS, Michael John; KEEN, Celia Mary; PRICE, Nigel John King; LEEMING, John Gerard; DUCKWORTH, Timothy John; IRVINE, Jonquil Claire; SMITH, Samuel Leonard; MCCLUSKIE, Gail Wilson; CURWEN, Julian Charles Barton; WRIGHT, Simon Mark; BENSON, John Everett; CLEEVE, James Harold Findlay; CAMPBELL, Patrick John; MERRYWEATHER, Colin Henry and DUCKETT, Anthony John; MIDGLEY, Jonathan Lee; BENTHAM, Andrew; and ROQUES; Sarah Elizabeth: of J.A. KEMP & CO., 14 South Square, Gray's Inn, London, WC1R 5LX, United Kingdom.

Sheet N . .5....

Box No. VI PRIORITY CLAIM Further priority claims are indicated in the Supplemental Box					
The priority of the following earlier application(s) is hereby claimed:					
Country (in which, or for which, the application was filed)		ng Date onth/year)	Applicatio	n No.	Office of filing (only for regional or international application)
item(1)					
US	19 06 97		60/050,213		
item (2)					
item(3)					
Mark the following check-box if the application is the receiving Office (a  The receiving Office is h Bureau a certified copy of	<i>jee may be required</i> ereby requested t	): o prepare and transm	nit to the Internationa		rposes of the present international
Box No. VII INTERNATIO	NAL SEARCHI	ING AUTHORITY			
Earlier search Fill in where a sec	Choice of International Searching Authority (ISA) (If two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):  Earlier search Fill in where a search (international, international-type or other) by the International Searching Authority has already been carried out or requested and the Authority is now requested to base the international search, to the extent possible, on the results of that earlier search. Identify such search or request either by reference to the relevant application (or the translation thereof) or by reference to the search request:  Country (or regional Office):  Date (day/month/year):  Number:				
Box No. VIII CHECK LIST					
This international application contains the following number of sheets:  1. request : 5 sheets 2. description : 28 sheets 3. claims : 5 sheets 4. abstract : 1 sheets 5. drawings : sheets Total : 39 sheets  Total : 39 sheets  Figure No of the drawings (if any) should accompany the abstract when it is published.  Box No. IX SIGNATURE OF APPLICANT OR AGENT  MARSHALL, MONICA ANNE AUTHORISED REPRESENTATIVE					
For receiving Office use only  1. Date of actual receipt of the purported  2. Drawings:					
Corrected date of actual received papers or dra	pt due to later bu	t g	·		received:
the purported international ap  4. Date of timely receipt of the corrections under PCT Article	required e 11(2):				not received:
5. International Searching Authority ISA /  specified by the applicant:  6. Transmittal of search copy delayed until search fee is paid					
Date of receipt of the record copy by the International Bureau use only by the International Bureau:					

This sheet is not part of and does not count as a sheet of the international application.

#### For receiving Office use only FEE CALCULATION SHEET International application No. Annex t the Request Applicant's or agent's N.74722 MAM file reference Date stamp of the receiving Office Applicant ATOHAAS HOLDING C.V. CALCULATION OF PRESCRIBED FEES **200 DEM** Т 1. TRANSMITTAL FEE . . . . . . . . . 2200 DEM S 2. SEARCH FEE . International search to be carried out by (If two or more International Searching Authorities are competent in relation to the international application, indicate the name of the Authority which is chosen to carry out the international search.) 3. INTERNATIONAL FEE **Basic Fee** The international application contains 39 800 DEM g 171DEM additional amount remaining sheets 97 IDEM В Add amounts entered at b, and b, and enter total at B **Designation Fees** The international application contains 73 \_ designations. 2024 DEM **184 DEM** D number of designation fees amount of designation fee payable (maximum 11) *2995* DEM I Add amounts entered at B and D and enter total at I . . . . . (Applicants from certain States are entitled to a reduction of 75% of the international fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at I is 25% of the sum of the amounts entered at B and D.) 4. FEE FOR PRIORITY DOCUMENT . . . P 5. TOTAL FEES PAYABLE *5*395 DEM Add amounts entered at T, S, I and P, and enter total in the TOTAL box TOTAL The designation fees are not paid at this time. MODE OF PAYMENT authorization to charge bank draft coupons deposit account (see below) cheque cash other (specify): postal money order revenue stamps DEPOSIT ACCOUNT AUTHORIZATION (this mode of payment may not be available at all receiving Offices) The RO/ EP is hereby authorized to charge the total fees indicated above to my deposit account. is hereby authorized to charge any deficiency or credit any overpayment in the total fees indicated above to my deposit account. is hereby authorized to charge the fee for preparation and transmittal of the priority document to the International Bureau of WIPO to my deposit account. 2805.0038 12 06 98 Deposit Account Number Date (day/month/year) Signature

#### From the INTERNATIONAL BUREAU

#### PCT

#### NOTIFICATION OF RECEIPT OF **RECORD COPY**

## 28 Rec'd PCT/PTO 2125 DEC 1999

То:				
MARSHALL, Monic J.A. Kemp & Co. 14 South Square Gray's Inn London WC1R 5LX ROYAUME-UNI	a, Anne  MR  REC'D = 4 SEP 1998			
	DIARIED			
IMPORTANT NOTIFICATION				
International application No				

The applicant is hereby notified that the International Bureau has received the record copy of the international application as

Name(s) of the applicant(s) and State(s) for which they are applicants:

ELF ATOCHEM S.A. (for all designated States except US)

YANG, Shijun et al (for US)

International filing date

15 June 1998 (15.06.98) 19 June 1997 (19.06.97)

Priority date(s) claimed Date of receipt of the record copy

12 August 1998 (12.08.98)

PCT/EP98/03583

by the International Bureau

Date of mailing (day/month/year) 26 August 1998 (26.08.98)

Applicant's or agent's file reference

N.74722 MAM

List of designated Offices

AP:GH,GM,KE,LS,MW,SD,SZ,UG,ZW EA:AM,AZ,BY,KG,KZ,MD,RU,TJ,TM

EP:AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE

OA:BF,BJ,CF,CG,CI,CM,GA,GN,ML,MR,NE,SN,TD,TG

National: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW,HU,ID,IL,IS,JP,KE,KG,KP,KR,KZ,LC,LK,LR,LS,LT,LU,LV,MD,MG,MK,MN,MW,MX,NO,NZ,PL,

PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,UA,UG,US,UZ,VN,YU,ZW

#### **ATTENTION**

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In add	dition, the applicant's attention is drawn to the information contained in the Annex, relating to:
X	time limits for entry into the national phase
	confirmation of precautionary designations
	requirements regarding priority documents
copy of t	his Notification is being sent to the receiving Office and to the International Searching Authority.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer:

Aino Metcalfe

Facsimile N . (41-22) 740.14.35

Telephone No. (41-22) 338.83.38



: :}

#### INFORMATION ON TIME LIMITS FOR ENTERING THE NATIONAL PHASE

The applicant is reminded that the "national phase" must be entered before each of the designated Offices indicated in the Notification of Receipt of Record Copy (Form PCT/IB/301) by paying national fees and furnishing translations, as prescribed by the applicable national laws.

The time limit for performing these procedural acts is 20 MONTHS from the priority date or, for those designated States which the applicant elects in a demand for international preliminary examination or in a later election, 30 MONTHS from the priority date, provided that the election is made before the expiration of 19 months from the priority date. Some designated (or elected) Offices have fixed time limits which expire even later than 20 or 30 months from the priority date. In other Offices an extension of time or grace period, in some cases upon payment of an additional fee, is available.

In addition to these procedural acts, the applicant may also have to comply with other special requirements applicable in certain Offices. It is the applicant's responsibility to ensure that the necessary steps to enter the national phase are taken in a timely fashion. Most designated Offices do not issue reminders to applicants in connection with the entry into the national phase.

For detailed information about the procedural acts to be performed to enter the national phase before each designated Office, the applicable time limits and possible extensions of time or grace periods, and any other requirements, see the relevant Chapters of Volume II of the PCT Applicant's Guide. Information about the requirements for filing a demand for international preliminary examination is set out in Chapter IX of Volume I of the PCT Applicant's Guide.

GR and ES became bound by PCT Chapter II on 7 September 1996 and 6 September 1997, respectively, and may, therefore, be elected in a demand or a later election filed on or after 7 September 1996 and 6 September 1997, respectively, regardless of the filing date of the international application. (See second paragraph above.)

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

#### **CONFIRMATION OF PRECAUTIONARY DESIGNATIONS**

This notification lists only specific designations made under Rule 4.9(a) in the request. It is important to check that these designations are correct. Errors in designations can be corrected where precautionary designations have been made under Rule 4.9(b). The applicant is hereby reminded that any precautionary designations may be confirmed according to Rule 4.9(c) before the expiration of 15 months from the priority date. If it is not confirmed, it will automatically be regarded as withdrawn by the applicant. There will be no reminder and no invitation. Confirmation of a designation consists of the filing of a notice specifying the designated State concerned (with an indication of the kind of protection or treatment desired) and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.

#### REQUIREMENTS REGARDING PRIORITY DOCUMENTS

For applicants who have not yet complied with the requirements regarding priority documents, the following is recalled.

Where the priority of an earlier national, regional or international application is claimed, the applicant must submit a copy of the said earlier application, certified by the authority with which it was filed ("the priority document") to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date, provided that any such priority document may still be submitted to the International Bureau before that date of international publication of the international application, in which case that document will be considered to have been received by the International Bureau on the last day of the 16-month time limit (Rule 17.1(a)).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such request must be made before the expiration of the 16-month time limit and may be subjected by the receiving Office to the payment of a fee (Rule 17.1(b)).

If the priority document concerned is not submitted to the International Bureau or if the request to the receiving Office to prepare and transmit the priority document has not been made (and the corresponding fee, if any, paid) within the applicable time limit indicated under the preceding paragraphs, any designated State may disregard the priority claim, provided that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity to furnish the priority document within a time limit which is reasonable under the circumstances.

Where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit is the filing date of the earliest application whose priority is claimed.

#### PCT

#### **NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL** OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

#### From the INTERNATIONAL BUREAU

MARSHALL, Monica, Anne J.A. Kemp & Co. 14 South Square Gray's Inn London WC1R 5LX **ROYAUME-UNI** 

IMPORTANT NOTIFICATION
International filing date (day/month/year) 15 June 1998 (15.06.98)
Priority date (day/month/year) 19 June 1997 (19.06.97)

- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

**Priority date** Priority application No. **Country or regional Office** Date of receipt or PCT receiving Office of priority document 19 June 1997 (19.06.97) 60/050,213 US 12 Augu 1998 (12.08.98)

The International Bureau f WIPO 34, chemin des Col mbettes 1211 Geneva 20, Switzerland

Authorized officer

Aino Metcalfe

Telephone No. (41-22) 338.83.38

Form PCT/IB/304 (July 1998)

Facsimile No. (41-22) 740.14.35

002202213



38 Rec'd PCT/PTO 15 DEC 1999 PCT

#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.							
N.74722 MAM International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)						
PCT/EP 98/03583	15/06/1998	19/06/1997						
Applicant								
FIF ATOCHEM C A at al								
ELF ATUCHEM S.A. et al.	ELF ATOCHEM S.A. et al.							
This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.								
This International Search Report consists  X It is also accompanied by a cop	of a total of <u>02</u> sheets. y of each priorart document cited in this report.							
Certain claims were found un	searchable(see Box I).							
2. Unity of invention is lacking(s	see Box II).							
The international application cointernational search was carried.	ntains disclosure of a nucleotide and/or amind lout on the basis of the sequence listing	acid sequence listing and the						
filed	filed with the international application.							
furnished by the applicant separately from the international application,								
but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.								
Transcribed by this Authority								
	text is approved as submitted by the applicant							
X the	text has been established by this Authority to re	aad as follows:						
plastic composition								
5. With regard to the abstract,								
· · ·	text is approved as submitted by the applicant							
the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this International Search Report, submit comments to this Authority.								
6. The figure of the drawings to be pub	lished with the abstract is:							
Figure No as suggested by the applicant. None of the figures.								
1 =	cause the applicant failed to suggest a figure. cause this figure better characteriz s the inventi	on.						
	adou ano ngare contor enaracterise. e ale invento							

International Application No PCT/EP 98/03583

IPC L	PC C08L101/00 B29C47/10 //(C08L101/00,101:00)				
	International Patent Classification (IPC) or to both national classificat	ion and IPC			
	SEARCHED	ion and n			
	cumentation searched (classification system followed by classification COSL B29C	n symbols)			
Documental	ion searched other than minimum documentation to the extent that suc	ch documents are included in the fields sea	arched		
Electronic d	ata base consulted during the international search (name of data base	e and, where practical, search terms used)			
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT				
Category *	Citation of document, with indication, where appropriate, of the relevance	vant passages	Relevant to claim No.		
X	WO 97 14749 A (ICI ACRYLICS INC) 24 April 1997 cited in the application see page 9, line 5 - line 25		1		
Furt	her documents are listed in the continuation of box C.	χ Patent family members are listed	in annex.		
"A" docum consk "E" earlier filing o "L" docum which citatio "O" docum other "P" docum later t	ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another n or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but	T* tater document published after the inte or priority date and not in conflict with cited to understand the principle or th invention  "X" document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the description of particular relevance; the cannot be considered to involve an indocument of particular relevance; the cannot be considered to involve an indocument is combined with one or ments, such combination being obvious the art.  "&" document member of the same patent.  Date of mailing of the international search.	the application but early underlying the claimed invention to be considered to current is taken alone claimed invention ventive step when the ore other such docuus to a person skilled		
2	6 October 1998	16/11/1998			
Name and	mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  Fax: (431-70) 340-3016	Authorized officer  Schueler, D	<del></del>		

INTER—TIONAL SEARCH REPORT

information on patent family members

International Application No
PCT/EP 98/03583

atent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9714749 A	24-04-1997	AU 7432696 A EP 0856032 A	07-05-1997 05-08-1998

3 Rec'd PCTPCTO 15 DEC 1999

NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU

To:

MARSHALL, Monica, Anne J.A. Kemp & Co. 14 South Square Gray's Inn

London WC1R 5LX ROYAUME-UNI MAM

Date of mailing (day/month/year)

30 December 1998 (30.12.98)

Applicant's or agent's file reference

N.74722 MAM

**IMPORTANT NOTICE** 

International application No. PCT/EP98/03583

International filing date (day/month/year) 15 June 1998 (15.06.98) Priority date (day/month/year) 19 June 1997 (19.06.97)

**Applicant** 

ELF ATOCHEM S.A. et al

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice:

AU, BR, CA, CN, EP, IL, JP, KP, KR, PL, US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AL,AM,AP,AT,AZ,BA,BB,BG,BY,CH,CU,CZ,DE,DK,EA,EE,ES,FI,GB,GE,GH,GM,GW,HU,ID,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MD,MG,MK,MN,MW,MX,NO,NZ,OA,PT,RO,RU,SD,SE,SG,SI,SK,SL,

TJ,TM,TR,TT,UA,UG,UZ,VN,YU,ZW
The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

 Enclosed with this Notice is a copy of the international application as published by the International Bureau on 30 December 1998 (30.12.98) under No. WO 98/59006

#### REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

#### REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Col mbettes 1211 Gen va 20, Switzerland Authorized officer

J. Zahra

Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35

PCT/EP98/03583

#### Continuation of Form PCT/IB/308

## NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

Date f mailing (day/month/year) 30 December 1998 (30.12.98)	IMPORTANT NOTICE
Applicant's or agent's file reference N.74722 MAM	International application No. PCT/EP98/03583
The applicant is hereby notified that, at the time of establishm amendments under Article 19 has not yet expired and the Interna declaration that the applicant does not wish to make amendment	itional Bureau had received neither such amendments nor a
	·

The demand must be filed directly with the competent International Preliminary Examining Authority or, it two or more Authorities are competent, with the one chosen by the applicant. The full name or two-letter code of that Authority may be indicated by the applicant on the line below:

IPF \* ' EP

# 28 Rec'd PCT/PTO 15 DEC 1999

**CHAPTER II** 

#### **DEMAND**

under Article 31 of the Patent Cooperation Treaty:
The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

For	r International Preliminary	y Examining Authorit	y use only			
Identification of IPEA		Date of receipt of D	· · · ·			
Box No. I IDENTIFICATION OF THE INTERNATIONAL A		APPLICATION	Applicant's or agent's file reference N.74722 MAM/lp			
International application No.	International filing da	te (day/month/year)	(Earliest) Priority date (day/month/year)			
PCT/EP98/03583	15 June 1998		19 June 1997			
Title of invention PLASTIC COMPOSITION						
Box No. II APPLICANT(S)						
Name and address: (Family name followed by g The address must include p	given name; for a legal entity, fi postal code and name of country	uli official designation. y.)	Telephone No.:			
ELF ATOCHEM S.A. 4 & 8 Cours Michelet La Defense 10			Facsimile No.:			
F-92080 Puteaux France			Teleprinter No.:			
State (that is, country) of nationality:		State (that is, country	) of residence:			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  YANG, SHIJUN 1803 Perrin Court Maple Glen Pennsylvania 19002 US						
State (that is, country) of nationality:		State (that is, country,	) of residence:			
Name and address: (Family name followed by g KEATING, PAUL JOSEPH 2727 Avenue "A" Newportville Pennsylvania 19056 US	iven name; for a legal entity, fu	ull official designation. Th	ne address must include postal code and name of country.)			
State (that is, country) of nationality:		State (that is, country)	) of residence:			
Further applicants are indicated on a	a continuation sheet.					

Sheet No.2...

International application No.
PCT/EP98/03583

Box N . III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE					
The following person is agent common representative					
and X has been appointed earlier and represents the applicant(s) also for international preliminary examination.					
is hereby appointed and any earlier appointment of (an) agent(s)/common represer					
is hereby appointed, specifically for the procedure before the International Prelimi	-				
the agent(s)/common representative appointed earlier.	,				
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	Telephone No.:				
MARSHALL, Monica Anne	+44 171 405 3292				
J.A. KEMP & CO.,	Facsimile No.:				
14 South Square, Gray's Inn,	+44 171 242 8932				
London, WC1R 5LX,					
United Kingdom	Teleprinter No.:				
	23676				
Address for correspondence: Mark this check-box where no agent or common represent space above is used instead to indicate a special address to which correspondence	presentative is/has been appointed and the should be sent.				
Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION					
Statement concerning amendments:*					
1. The applicant wishes the international preliminary examination to start on the basis of:					
the international application as originally filed					
the description as originally filed					
as amended under Article 34					
the claims as originally filed					
as amended under Article 19 (together with any accompanying statement)					
as amended under Article 34					
the drawings as originally filed					
as amended under Article 34					
The applicant wishes any amendment to the claims and do A sixty 10 to be applied to the second					
2 The applicant wishes any amendment to the claims under Article 19 to be considered as reversed.					
3. The applicant wishes the start of the international preliminary examination to be postponed until the expiration of 20 months from the priority date unless the International Preliminary Examining Authority receives a copy of any amendments made					
under Article 19 or a notice from the applicant that he does not wish to make such a					
box may be marked only where the time limit under Article 19 has not yet expired.)					
* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.					
Language for the purposes of international preliminary examination: English					
which is the language in which the international application was filed.					
which is the language of a translation furnished for the purposes of international search.					
which is the language of publication of the international application.					
which is the language of the translation (to be) furnished for the purposes of international preliminary examination.					
Box No. V ELECTION OF STATES					
The applicant hereby elects all eligible States (that is, all States which have been designate the PCT)	The applicant hereby elects all eligible States (that is, all States which have been designated and which are bound by Chapter II of				
excluding the following States which the applicant wishes not t elect:					
O					

Sheet No. 3...

International application No.
PCT/EP98/03583

Box No. VI CHECK LIST				# <b>*</b>	
The demand is accompanied by the following ele Box No. IV, for the purposes of international pro	ments, in the lar eliminary exam	nguage referred to in ination:	Examining A	onal Preliminary uthority use only	
translation of international application	:	sheets	received	not received	
2. amendments under Article 34	:	sheets			
copy (or, where required, translation) of amendments under Article 19	·	sheets			
4. copy (or, where required, translation) of	•	SHCCIS			
statement under Article 19	:	sheets			
5. letter	:	sheets			
6. other (specify)	:	sheets			
The demand is also accompanied by the item(s) ma	rked below:				
1. <b>X</b> fee calculation sheet		4. statement ex	xplaining lack of signs	ature	
2. separate signed power of attorney			und or amino acid sequadable form	uence listing in	
3. copy of general power of attorney; reference number, if any:		6. other (speci	fy):		
Box No. VII SIGNATURE OF APPLICANT, A	GENT OR C	OMMON REPRESEN	TATIVE		
Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).					
MARSHALL, Monica Anne					
For Internation	nal Preliminary	Examining Authority u	se only		
Date of actual receipt of DEMAND:					
Adjusted date of receipt of demand due     to CORRECTIONS under Rule 60.1(b):					
The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5, below, does not apply.  The applicant has been informed accordingly.					
4. The date of receipt of the demand is V Rule 80.5.	WITHIN the pe	riod of 19 months from	the priority date as	extended by virtue of	
Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.					
1	For Internations	al Bureau use only			
Demand received from IPEA on:					

## **PCT**

#### FEE CALCULATION SHEET

#### Annex to the Demand for international preliminary examination

International application No. PCT/EP98	3/03583	For In	ternational Prelimina	ry Examining Authority use only 🕳
Applicant's or agent's file reference N.747	22 MAM/lp	Date stam	p of the IPEA	
Applicant ELF ATOCHEM	S.A. et al.			
Calculation of prescribed fe	es			
1. Preliminary examination	fee	DEM 30	00 P	
2. Handling fee (Application of Where the applicant is (6 titled, the amount to be chandling fee.)	ants from certain States are of 75% of the handling fee. or all applicants are) so en- entered at H is 25% of the	DEM 28	35 Н	
Total of prescribed fees     Add the amounts entered     and enter total in the TOT	at P and H 'AL box	DEM :	3285	
		TOTA	NL	
Mode of Payment				
authorization to charge account with the IPEA	e deposit casi	h		
cheque	rev	enue stamps		
postal money order	cou	pons		
bank draft	oth	er (specify):		
Deposit Account Authorizati	ion (this mode of payment may	not be available at al	l IPEAs)	
The IPEA/ EP	is hereby authorized to charge	e the total fees indica	ated above to my depo	osit account.
	(this check-box may be marked authorized to charge any def my deposit account.	only if the condition. ficiency or credit an	s for deposit accounts by overpayment in th	of the IPEA so permit) is hereby te total fees indicated above to
2805.0038	13 Janua			
Deposit Account Number	Date (day/month/yea	r)	Signature	

From the INTERNATIONAL PRELIMINARY EXA	MINING AUTHORITY	•	PCT
To:  MARSHALL, Monica Anne J.A. KEMP & CO.  14 South Square T/PTO Gray's Inn London WC1R 5LX GRANDE BRETAGNE	15 DEC 189	OF DEMAND PRELIMIN (PCT Re and Admi	FIFICATION OF RECEIPT BY COMPETENT INTERNATIONAL ARY EXAMINING AUTHORITY  siles 59.3(e) and 61.1(b), first sentence nistrative Instructions, Section 601(a))
		Date of mailing (day/month/year)	0 2. 02. 99
Applicant's or agent's file reference N. 74722 MAM/1p		IMPO	RTANT NOTIFICATION
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)
PCT/EP 98/ 03583	15/06/1998		19/06/1997
Applicant			
ELF ATOCHEM S.A. et al	•		
The applicant is hereby notified that t date of receipt of the demand for inte	this International Prelimi ernational preliminary exa	nary Examining Autho umination of the intern	rity considers the following date as the ational application:
	15/01	/1999	
2. This date of receipt is:			
the actual date of receipt of			·
the actual date of receipt o			
the date on which this Au (Form PCT/IPEA/404), re	thority has, in response t eceived the required corre	o the invitation to corrections.	ect defects in the demand
election(s) made in the demand	does (do) not have the ef or later in some Offices) n 20 months from the pri	fect of postponing the (Article 39(1)). Therefo	m the priority date. Consequently, the entry into the national phase until 30 ore, the acts for entry into the national some Offices) (Article 22). For details, see
(If applicable) This notific on:	cation confirms the inform	mation given by teleph	one, facsimile transmission or in person
4. Only where paragraph 3 applies, a co	ppy of this notification ha	s been sent to the Inte	rnational Bureau.
Name and mailing address of the IPEA/		Authorized officer	
European Patent Office D-80298 Munich Tel. (+ 49-89) 2399-0, Tx: 5236 Fax: (+ 49-89) 2399-4465	.56 epmu d	Telephone No.	Kens

To:

PCT MAM

#### From the INTERNATIONAL BUREAU

## INFORMATION CONCERNING ELECTED OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

MARSHALL, Monica, Anne J.A. Kemp & Co. 14 South Square Gray's Inn London WC1R 5LX

**ROYAUME-UNI** 

Date of mailing (day/month/year)

05 February 1999 (05.02.99)

Applicant's or agent's file reference

N.74722 MAM

IMPORTANT INFORMATION

International application No. PCT/EP98/03583

International filing date (day/month/year)
15 June 1998 (15.06.98)

Priority date (day/month/year)
19 June 1997 (19.06.97)

Applicant

ELF ATOCHEM S.A. et al

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

AP:GH,GM,KE,LS,MW,SD,SZ,UG,ZW

EP:AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE

National: AU, BG, BR, CA, CN, CZ, DE, GB, IL, JP, KP, KR, MN, NO, NZ, PL, RO, RU, SE, SK, US,

VN

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

EA :AM,AZ,BY,KG,KZ,MD,RU,TJ,TM

OA:BF,BJ,CF,CG,CI,CM,GA,GN,ML,MR,NE,SN,TD,TG

National: AL,AM,AT,AZ,BA,BB,BY,CH,CU,DK,EE,ES,FI,GE,GH,GM,GW,HU,ID,IS,KE,

KG,KZ,LC,LK,LR,LS,LT,LU,LV,MD,MG,MK,MW,MX,PT,SD,SG,SI,SL,TJ,TM,TR,TT,UA,

UG,UZ,YU,ZW

3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer:

A. Karkachi

Telephone No. (41-22) 338.83.38

h

Facsimile No. (41-22) 740.14.35 Form PCT/IB/332 (September 1997)

2465343

intom the INTERNAL ONAL PRELIMINARY EXAMINING AUTHORITY

To:

MARSHALL, Monica Anne J.A. KEMP & CO. 14 South Square Gray's Inn London WC1R 5LX GRANDE BRETAGNE J. A. KELLINE

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing (day/month/year)

<u>2 n. 09. 99</u>

Applicant's or agent's file reference

N.74722 MAM/lp

IMPORTANT NOTIFICATION

International application No. PCT/EP98/03583

International filing date (day/month/year) 15/06/1998

Priority date (day/month/year) 19/06/1997

Applicant

ELF ATOCHEM S.A. et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

European Patent Office D-80298 Munich

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Fax: +49 89 2399 - 4465

Authorized officer

Hardy Magliano

Tel.+49 89 2399-8151



1. 002

From the RECEIVING OFFICE	PCT	•
Marshall, Monica Anne J.A. KEMP & CO. 14 South Square Gray's Inn Lendon FOR FACTO 15 DEC 1999 GRANGE BRETAGNE	NOTIFICATION OF DECISION REQUEST FOR RECTI (PCT Rule 91.	FICATION
	Date of mailing (day/month/year)	07. 08. 98
Applicant's or agent's file reference N. 74722 MAM	REPLY DUE NONE. However, see last par	ragraph below
International application No. PCT/EP 98/ 03583	International filing date (day/month/year) 15/06/1	998
Applicant ELF ATOCHEM S.A.		
The applicant is hereby notified that this receiving Office has consider of the international application and that it has decided:  1.   to authorize the rectification;  as requested by the applicant.  to the extent set forth below.  to the extent set forth below.		errors in the request
A copy of this notification, together with a copy of the applicant's relation to the sufficient of the rectification has been refused in Bureau, before the technical preparations for international pufee, to publish the request for rectification together with the sentences, and, for the amount of the fee, see Annex B2(WO), \(\frac{1}{2}\)	whole of in part, the applicant may req blication have been completed and subject te international application. See Rule 91.	uest the International to the payment of a
Name and mailing address of the receiving Office  European Patent Office, P.B. 5818 Patendaan 2  NL-2280 Hv Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 cpo nl,  Fax: (+31-70) 340-3016	Authorized officer	gluc 3.L.A. Pethar

## **PCT**

#### REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For mari	ving Office use only
	PCT/EP 98/113 5 00
(15.06.98) International Filing Date	1 5 JUN 1998
BUROPEAN PA	TENT OFFICE  TONAL APPLICATION  d "PCT International Application"

Applicant's or agent's file reference
(if desired) (12 characters maximum) N.74722 MAM

1 44 - 0 / 0 2 4 0 4

	10	if desired) (12 characters m	(2017) N.74722 MAM			
[	Box No. 1 TITLE OF INVENTION					
	PLASTIC COMPOSITIONS HAVING MINERAL-LIKE APPEARANCE					
Ţ	Box No. II APPLICANT					
10	Name and address: (Family name followed by given name; for a legal en The address must include postal code and name of country. The country of the Box is the applicant's State (i.e. country) of residence if no State of residence.	rity, full official designation. the address indicated in this ce is indicated below.)	This person is also inventor.			
4/60	SCHIPHOLPOORT 60, 2034 MB HAARLEM; RIE NETHERLANDS		Telephone No.			
	ELF ATOCHEM S.A.		Facaimile No.			
/EP	4 & 8 Cours Michelet, La Defense 10, FR- 92080 Puteaux		Teleprinter No.			
}	State (i.e. country) of nationality:	State (i.e. country) of res	idence: (AH)			
/EP						
	This person is applicant for the purposes of:		United States America only  the States indicated in the Supplemental Box			
{	Box No. III FURTHER APPLICANT(S) AND/OR (FURTH	ER) INVENTOR(S)				
	Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)					
	YANG, SHIJUN applicantonly					
	1603 PERRIN COURT MAPLE GLEN		applicant and inventor			
. }	PENNYSYLVANIA 19002					
Ha	U.S.A.		inventor only (if this check-box is marked, do not fill in below.)			
	State (i.e. country) of nationality:	State (i.e. country) of res	idence:			
4107	راها المالية		US			
	This person is applicant all designated all designated for the purposes of:		United States the States indicated in the Supplemental Box			
MICE	Further applicants and/or (further) inventors are indicated or	a continuation sheet.				
ł	Box No. IV AGENT OR COMMON REPRESENTATIVE;	OR ADDRESS FOR CO	DRRESPONDENCE			
	The person identified below is hereby/has been appointed to act or of the applicant(s) before the competent International Authorities a	behalf s:	cot Common representative			
	Name and address: (Family name followed by given name; for a legal en The address must include postal code and name of	niry, full official designation. country.)	Telephone No.			
	MARSHALL, MONICA ANNE,	į	0171 405 3292			
į	J.A. KEMP & CO.		Pacsimile No.			
	14 SOUTH SQUARE, GRAY'S INN, LONDON WC1R 5LX.	[	0171 242 8932			
	UNITED KINGDOM.		Teleprinter No.			
1						
	Mark this check-box where no agent or comm a representation indicate a special address to which correspondence should be	ve is/has been appointed a sent.	nd the space above is used instead to			

#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of	f Transmittal of International Search Report		
N.74722 MAM	ACTION (Form PCT/ISA/220) as well as, where applicable, item 5 below.			
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)		
DOT /5D 00 / 00500				
PCT/EP 98/03583	15/06/1998	19/06/1997		
Applicant				
ELF ATOCHEM S.A. et al.				
This International Search Report has been	n prepared by this International Searching Auth	onity and is transmitted to the applicant		
according to Article 18. A copy is being tra	insmitted to the International Bureau.	to the applicant		
This International Search Report consists  X It is also accompanied by a copy	of a total of <u>02</u> sheets.			
[X] It is also accompanied by a copy	y of each prior art document cited in this report.			
Certain claims were found uns	searchable(see Boy I)			
	Additionable (See Box 1).			
2. Unity of invention is lacking(s	ee Box II).			
	•			
3. The international application con	toing displaces of a must satisfy and the satisfy			
international search was carried	tains disclosure of a nucleotide and/or amino out on the basis of the sequence listing	o acid sequence listing and the		
filed	with the international application.			
furnished by the applicant separately from the international application,				
ĺ	but not accompanied by a statement to the	e effect that it did not include		
	matter going beyond the disclosure in the	international application as filed.		
☐ Tran	scribed by this Authority			
	school by this Additing			
4. With regard to the <b>title,</b> the t	ext is approved as submitted by the applicant			
X the t	ext has been established by this Authority to re	ad as follows:		
plastic composition				
,				
5. With regard to the abstract,				
X the to	ext is approved as submitted by the applicant			
the to	ext has been established, according to Rule 38	.2(b), by this Authority as it appears in		
Sear	III. The applicant may, within one month from the right Report, submit comments to this Authority.	ne date of mailing of this International		
6. The figure of the <b>drawings</b> to be publis	shod with the obetication			
	sned with the abstract is: uggested by the applicant.	□ None of the fi		
	use the applicant failed to suggest a figure.	None of the figures.		
_	use this figure better characterizes the invention			
ال المالية	and mydre better characterizes the invention	и.		

HONAL SEARCH REPORT

Internal Application No PCT/EP 98/03583

A CLASSI	EICATION OF CHE ISCT MATTER	<del></del>	
IPC 6	FICATION OF SUBJECT MATTER C08L101/00 B29C47/10 //(C08L	101/00,101:00)	
According to	International Patent Classification (IPC) or to both national classific	cation and IPC	
	SEARCHED	04.01. 2	
Minimum do	cumentation searched (classification system followed by classification	tion symbols)	
IPC 6	C08L B29C	. ,	
Documentat	ion searched other than minimum documentation to the extent that	such documents are included in the fields se	arched
Electronic da	ata base consulted during the international search (name of data b	ase and, where practical, search terms used	)
C. DOCUME	NTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No.
Ü			
X	WO 97 14749 A (ICI ACRYLICS INC) 24 April 1997		1
	cited in the application		
	see page 9, line 5 - line 25		
İ			
ļ			
Furthe	er documents are listed in the continuation of box C.	X Patent family members are listed in	n annex.
° Special cate	egories of cited documents :	"T" later document published after the inter	national filing data
"A" documer	at defining the general state of the art which is not red to be of particular relevance	or priority date and not in conflict with cited to understand the principle or the	the application but
	ocument but published on or after the international	invention "X" document of particular relevance; the cl	, , , , , ,
"L" documen	te t which may throw doubts on priority claim(s) or cited to establish the publication date of another	cannot be considered novel or cannot involve an inventive step when the doc	be considered to
citation	or other special reason (as specified)	"Y" document of particular relevance; the cl cannot be considered to involve an inv	aimed invention
other m		ments, such combined with one or mo ments, such combination being obviou	re other such docus
"P" documen later tha	t published prior to the international filing date but in the priority date claimed	in the art.  *&" document member of the same patent f	amily
Date of the ac	ctual completion of theinternational search	Date of mailing of the international sear	ch report
26	October 1998	16/11/1998	
Name and ma	ailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer	
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Schueler, D	

Information on patent family members HONAL SEARCH REPORT

Interactional Application No PCT/EP 98/03583

	atent family nember(s)	Publication date
-1997 AU EP	7432696 A 0856032 A	07-05-1997 05-08-1998
	-1997 AU	member(s) AU 7432696 A

	From the INTERNATIONAL BUREAU
PCT	To:
NOTIFICATION OF ELECTION  (PCT Rule 61.2)	United States Patent and Trademark Office (B x PCT) Crystal Plaza 2 Washington, DC 20231 ÉTATS-UNIS D'AMÉRIQUE
Date of mailing (day/month/year) 05 February 1999 (05.02.99)	in its capacity as elected Office
International application No. PCT/EP98/03583	Applicant's or agent's file reference N.74722 MAM
International filing date (day/month/year) 15 June 1998 (15.06.98)	Priority date (day/month/year) 19 June 1997 (19.06.97)
Applicant YANG, Shijun et al	
1. The designated Office is hereby notified of its election made    X   In the demand filed with the International Preliminary   15 January 19:   In a notice effecting later election filed with the International Preliminary   15 January 19:   In a notice effecting later election filed with the International Preliminary   15 January 19:   In a notice effecting later election filed with the International Preliminary   15 January 19:   In a notice effecting later election filed with the International Preliminary   15 January 19:   In a notice effecting later election filed with the International Preliminary   15 January 19:   In a notice effecting later election filed with the International Preliminary   16 January 19:   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election filed with the International Preliminary   In a notice effecting later election f	y Examining Authority on: 99 (15.01.99) national Bureau on:
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  A. Karkachi

Telephone No.: (41-22) 338.83.38

Form PCT/IB/331 (July 1992)

Facsimile No.: (41-22) 740.14.35

## **PCT**

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference		See Notification of Transmittal of International	
N.74722 MAM/lp	FOR FURTHER ACTION	ACTION Preliminary Examination Report (Form PCT/IPEA/416)	
International application No.	International filing date (day/month		
PCT/EP98/03583	15/06/1998	19/06/1997	
International Patent Classification (IPC) or na	tional classification and IPC	·	
C08L101/00		gran.	
		C	
Applicant			
ELF ATOCHEM S.A. et al.			
1. This international preliminary exam	ination report has been prepared	by this International Preliminary Examining Authority	
and is transmitted to the applicant a	according to Article 36.		
2. This REPORT consists of a total of	6 sheets, including this cover si	heet.	
☐ This report is also accompanie	I hy ANNEYES in shoots of th	e description, claims and/or drawings which hav	
1		ontaining rectifications made before this Authority	
(see Rule 70.16 and Section 60	07 of the Administrative Instruction	ons under the PCT).	
These annexes consist of a total of	sheets.		
	•		
		**************************************	
3. This report contains indications rela	ting to the following items:		
I ⊠ Basis of the report			
II □ Priority			
III   Non-establishment of o	pinion with regard to novelty, inv	entive step and industrial applicability	
IV ☐ Lack of unity of invention	n		
V 🖾 Reasoned statement ur	nder Article 35(2) with regard to i	novelty, inventive step or industrial applicability;	
VI ☐ Certain documents cite	-		
VII ☐ Certain defects in the in			
	the international application		
Date of submission of the demand	Date of c	completion of this report	
15/01/1999		2 0, 09. 99	
Name and mailing address of the international	Authorize	ed officer	
preliminary examining authority:	Addionze	STATE OF STA	
European Patent Office D-80298 Munich	Kolitz,		
Tel. +49 89 2399 - 0 Tx: 523656	epmu d		
Fax: +49 89 2399 - 4465 Telephone No. +49 89 2399 8481			

# INTER: IONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP98/03583

I.	Basis	of the	r	port
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1.	This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):					
	Description, pages:					
	1-28	as originally file	j			
	Claims, No.:					
	1-19	as originally file	i			
2.	The amendments hav	e resulted in the c	ancellation of:			
	☐ the description,	pages:				
	☐ the claims,	Nos.:				
	☐ the drawings,	sheets:				
3.			s if (some of) the amendments had not been made, since they have been sure as filed (Rule 70.2(c)):	t		
4.	Additional observation	ns, if necessary:				
٧.			(2) with regard to novelty, inventive step or industrial one supporting such statement			
1.	Statement					
	Novelty (N)		aims 1-19 aims			
	Inventive step (IS)		aims 1-19 aims			
	Industrial applicability	· · · · · ·	aims 1-19 aims			

# INTERN JONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP98/03583

2. Citations and explanations

se separat sh et

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

#### **EXAMINATION REPORT - SEPARATE SHEET**

#### Section V:

\*\*. , , , , , , , , , , , , , , .

Reasoned statement with regard to novelty, inventive step and industrial applicability Article 33 (1) to (4) PCT:

D1 WO-A-9714749
D2 US-A-5415931 \*
D3 US-A-5242968 \*
\*) cited in the light of Rule 64.1a) PCT

- 1. The present invention relates to a composite plastics composition comprising a specific amount of a particulate crosslinked polymer of a defined particle size range being dispersed within a thermoplastic matrix, wherein the crosslinked polymer comprises a specific amount of inert filler and crosslinker and is visually differentialble from the thermoplastic matrix (claims 1-11 and 15) and a process for preparing it (claims 12-14) and an extruded sheet material and a thermoformed product made thereof (claims 18-19).
- 2. The present application meets the requirements of Article 33 (1) -(3) PCT because the subject-matter of claims 1-19 is novel and inventive vis-à-vis the prior art cited in the search report.

The problem of the present application may be regarded as to provide a further composite plastics composition having a mineral-like appearance which can be fabricated by conventional thermoplastic processing methods such as sheet extrusion and injection molding.

No indication was given in the prior art that the combination of specifc amounts of a particulate crosslinked polymer of a defined particle size range being dispersed within a thermoplastic matrix could be used to solve this problem.

D1 discloses a particulate crosslinked polymer of a defined particle size range being dispersed within a <u>thermoset matrix</u>. D1 reports that different approaches lead to too much swelling such that the viscosity becomes unworkable.

According to D2 and D3 a crosslinked particulate polymer is dispersed in a

monomer/ polymer syrup of MMA in PMMA. After a swelling process of the particles the mixture is cured and a particle-matrix interpenetrating network is formed which is not themoplastic.

None of the above-mentioned documents teaches or suggests a composite plastics composition which combines a crosslinked particulate polymer with a thermoplastic matrix, a process for preparing it and an extruded sheet material and a thermoformed product made thereof having all the features of present claims 1-19.

Therefore the novelty and the presence of an inventive step may be acknowledged for the subject-matter of the present claims 1-19.

3. The present application meets the requirements of Article 33 (1) and (4) PCT because the subject-matter of claims 1-19 is also industrially applicable.

#### Section VIII:

#### Remarks concerning clarity, Article 6 PCT:

The following clarity deficiencies must be overcome in the regional phase:

- 1. The claims must be clear in themselves since the claims (and not the description) define the matter for which protection is sought, Art.6 PCT.
- 1.1. The definitions of the expressions "cross-linked polymer" and "inert filler" are unclear and overlap with each other. The cross-linked polymer (C) can be regarded as inert filler(F) and vice versa. These overlapping definitions render the scope of the claims unclear. Since (C) should comprise a specific amount of (F) it is absolutely necessary to distinguish between them and to define both expressions properly e.g. by incorporation of the subject-matter of claims 6 and 9 into claim 1.
- 1.2. Moreover, the expression "cross-linker" is not clearly defined in claim 1. It is necessary to define what a cross-linker is e.g. by incorporation of the subjectmatter of claim 8 into claim 1.
- 1.3. The definition of an impact modifier is not clear in claim 2 and should be clarified by incorporation of the subject-matter of claim 4.
- 2. The expression "substantially" in connection with ranges e.g. in claims 1, 10, 11,

- **EXAMINATION REPORT SEPARATE SHEET** 
  - 12, 13 and in the description renders the scope of the claims unclear and should therefore be deleted.
- 3. Claims 1, 11 and 15 do not meet the requirements of Article 6 PCT since they have been drafted as separate independent claims, however appear to relate effectively to the same subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.
  - In order to overcome this objection, it would appear appropriate to file an amended set of claims defining the relevant subject-matter in terms of a single independent claim in each category followed by dependent claims covering features which are merely optional.
- 4. All examples 3A to 3C in Table 2 on page 26 have an amount of 35% cross-linked polymer and 65% thermoplastic polymer. Although all of them appear to be examples of the invention example 3B, only, gives good results, see "sheet extrusion" and "sheet appearance" in Table 2, whereby the result of examples 3A and 3C does not solve the problem of the present application to provide a further composite composition having granite-like appearance. On the contrary examples 3A and 3C show cross-linked particles "melted into the matrix" or a "poor process" with "stranding and pelletizing problems" and the final composite exhibits "no granite texture" or "rough surface".
  - It is therefore unclear why Examples 3A and C are examples of the invention and why example 3B, only, gives good results.
- 4.1. The comments on page 28, last sentence, refer to examples 3D and 3G in Table3. However, in Table 3 examples 3D and 3G do not exist.

## **PCT**

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14,35	Ú	PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference  See Notification of Transmittal of International				
N.74722 MAM/lp	FOR FURTHER ACTION  See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/41)			
International application No.	International filing date (day/mon	th/year) Priority date (day/month/year)		
PCT/EP98/03583	15/06/1998	19/06/1997		
International Patent Classification (IPC) or national classification and IPC C08L101/00 Applicant				
ELF ATOCHEM S.A. et al.				
<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>				
2. This REPORT consists of a total o	f 6 sheets, including this cover	sheet.		
☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which hav been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).				
These annexes consist of a total of sheets.				
This report contains indications relating to the following items:				
I ⊠ Basis of the report				
II ☐ Priority				
III    Non-establishment of a	opinion with regard to novelty, ir	nion with regard to novelty, inventive step and industrial applicability		
IV 🔲 Lack of unity of inventi	ion			
V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations suporting such statement				
VI				
VII Certain defects in the i	• •			
VIII ⊠ Certain observations on the international application				
Date of submission of the demand	Date o	f completion of this report		
15/01/1999		2 0. 09. <b>99</b>		
Name and mailing address of the internation preliminary examining authority:	al Author	ized officer		
European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 52365	Kolitz	, R		
Fax: +49 89 2399 - 4465	Teleph	one No. +49 89 2399 8481		

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP98/03583

	I.	<b>Basis</b>	of the	report
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••	Das	sis of the report			•	
1.	res	This report has been drawn on the basis of (substitute sheets which have been fumished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):				
	Des	Description, pages:				
	1-2	8	as originally	y filed		
	Cla	ims, No.:				
	1-19	9	as originally	/ filed		
2.	The	amendments have	resulted in t	the cance	ellation of:	
		the description,	pages:			
		the claims,	Nos.:			
		the drawings,	sheets:			
3.		This report has bee	en establishe eyond the d	ed as if (s isclosure	some of) the amendments had not been made, since they have been as filed (Rule 70.2(c)):	
4.	Add	itional observations	, if necessar	ry:		
٧.	Rea appl	soned statement u licability; citations	ınder Articl and explar	e 35(2) w nations s	vith regard to novelty, inventive step or industrial supporting such statement	
1.	State	ement				
	Nov	eity (N)	Yes: No:	Claims Claims		
	Inve	ntive step (IS)	Yes: No:	Claims Claims		
	Indu	strial applicability (I	A) Yes:	Claims		

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP98/03583

- 2. Citations and explanations
  - se separate sheet

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

# Section V:

Reasoned statement with regard to novelty, inventive st p and industrial applicability Article 33 (1) to (4) PCT:

D1 WO-A-9714749

D2 US-A-5415931 \*

D3 US-A-5242968 \*

- \*) cited in the light of Rule 64.1a) PCT
- The present invention relates to a composite plastics composition comprising a 1. specific amount of a particulate crosslinked polymer of a defined particle size range being dispersed within a thermoplastic matrix, wherein the crosslinked polymer comprises a specific amount of inert filler and crosslinker and is visually differentialble from the thermoplastic matrix (claims 1-11 and 15) and a process for preparing it (claims 12-14) and an extruded sheet material and a thermoformed product made thereof (claims 18-19).
- 2. The present application meets the requirements of Article 33 (1) -(3) PCT because the subject-matter of claims 1-19 is novel and inventive vis-à-vis the prior art cited in the search report.

The problem of the present application may be regarded as to provide a further composite plastics composition having a mineral-like appearance which can be fabricated by conventional thermoplastic processing methods such as sheeet extrusion and injection molding.

No indication was given in the prior art that the combination of specific amounts of a particulate crosslinked polymer of a defined particle size range being dispersed within a thermoplastic matrix could be used to solve this problem.

D1 discloses a particulate crosslinked polymer of a defined particle size range being dispersed within a thermoset matrix. D1 reports that different approaches lead to too much swelling such that the viscosity becomes unworkable.

According to D2 and D3 a crosslinked particulate polymer is dispersed in a

monomer/ polymer syrup of MMA in PMMA. After a swelling process of the particles the mixture is cured and a particle-matrix interpenetrating network is formed which is not themoplastic.

None of the above-mentioned documents teaches or suggests a composite plastics composition which combines a crosslinked particulate polymer with a thermoplastic matrix, a process for preparing it and an extruded sheet material and a thermoformed product made thereof having all the features of present claims 1-19.

Therefore the novelty and the presence of an inventive step may be acknowledged for the subject-matter of the present claims 1-19.

3. The present application meets the requirements of Article 33 (1) and (4) PCT because the subject-matter of claims 1-19 is also industrially applicable.

### Section VIII:

### Remarks concerning clarity, Article 6 PCT:

The following clarity deficiencies must be overcome in the regional phase:

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- 1.2. Moreover, the expression "cross-linker" is not clearly defined in claim 1. It is necessary to define what a cross-linker is e.g. by incorporation of the subjectmatter of claim 8 into claim 1.
- 1.3. The definition of an impact modifier is not clear in claim 2 and should be clarified by incorporation of the subject-matter of claim 4.
- 2. The expression "substantially" in connection with ranges e.g. in claims 1, 10, 11,

- 12, 13 and in the description renders the scope of the claims unclear and should therefore be deleted.
- Claims 1, 11 and 15 do not meet the requirements of Article 6 PCT since they 3. have been drafted as separate independent claims, however appear to relate effectively to the same subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.
  - In order to overcome this objection, it would appear appropriate to file an amended set of claims defining the relevant subject-matter in terms of a single independent claim in each category followed by dependent claims covering features which are merely optional.
- All examples 3A to 3C in Table 2 on page 26 have an amount of 35% cross-linked 4. polymer and 65% thermoplastic polymer. Although all of them appear to be examples of the invention example 3B, only, gives good results, see "sheet extrusion" and "sheet appearance" in Table 2, whereby the result of examples 3A and 3C does not solve the problem of the present application to provide a further composite composition having granite-like appearance. On the contrary examples 3A and 3C show cross-linked particles "melted into the matrix" or a "poor process" with "stranding and pelletizing problems" and the final composite exhibits "no granite texture" or "rough surface".
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- 4.1. The comments on page 28, last sentence, refer to examples 3D and 3G in Table 3. However, in Table 3 examples 3D and 3G do not exist.

## **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

C08L 101/00, B29C 47/10 // (C08L 101/00, 101:00)

(11) International Publication Number: WO 98/59006

A1 (43) International Publication Date: 30 December 1998 (30.12.98)

(21) International Application Number: PCT/EP98/03583

(22) International Filing Date: 15 June 1998 (15.06.98)

(30) Priority Data:

60/050,213

19 June 1997 (19.06.97)

US

(71) Applicant (for all designated States except US): ELF ATOCHEM S.A. [FR/FR]; 4 & 8, cours Michelet, La Défense 10, F-92080 Puteaux (FR).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): YANG, Shijun [CN/US]; 1803 Perrin Court, Maple Glen, PA 19002 (US). KEATING, Paul, Joseph [US/US]; 2727 Avenue "A", Newportville, PA 19056 (US).
- (74) Agents: MARSHALL, Monica, Anne et al.; J.A. Kemp & Co., 14 South Square, Gray's Inn, London WC1R 5LX (GB).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

#### Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

### (54) Title: PLASTIC COMPOSITION

#### (57) Abstract

Composite plastics compositions based on the dispersion of selected cross-linked polymers in certain thermoplastic matrices are disclosed. The composite compositions are readily formed and processed by thermal extrusion processes versus conventional casting processes. Preferred composite plastics compositions based on cross-linked poly(alkyl (meth)acrylate) polymers and modified poly(alkyl (meth)acrylate) thermoplastics are especially useful in the preparation of synthetic architectural materials having a mineral-like appearance, such as that of granite.

## **PCT**

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup>:
C08L 101/00, B29C 47/10 // (C08L 101/00, 101:00)

A1

(11) International Publication Number:

WO 98/59006

(43) International Publication Date:

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(21) International Application Number:

PCT/EP98/03583

(22) International Filing Date:

15 June 1998 (15.06.98)

(30) Priority Data:

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60/050,213

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(71) Applicant (for all designated States except US): ELF ATOCHEM S.A. [FR/FR]; 4 & 8, cours Michelet, La Défense 10, F-92080 Puteaux (FR).

(72) Inventors; and

(75) Inventors/Applicants (for US only): YANG, Shijun [CN/US]; 1803 Perrin Court, Maple Glen, PA 19002 (US). KEATING, Paul, Joseph [US/US]; 2727 Avenue "A", Newportville, PA 19056 (US).

(74) Agents: MARSHALL, Monica, Anne et al.; J.A. Kemp & Co., 14 South Square, Gray's Inn, London WC1R 5LX (GB).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

#### **Published**

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: PLASTIC COMPOSITION

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Composite plastics compositions based on the dispersion of selected cross-linked polymers in certain thermoplastic matrices are disclosed. The composite compositions are readily formed and processed by thermal extrusion processes versus conventional casting processes. Preferred composite plastics compositions based on cross-linked poly(alkyl (meth)acrylate) polymers and modified poly(alkyl (meth)acrylate) thermoplastics are especially useful in the preparation of synthetic architectural materials having a mineral-like appearance, such as that of granite.

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AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
ΑT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
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EE	Estonia	LR	Liberia	SG	Singapore		

#### PLASTIC COMPOSITION

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This invention relates to composite plastics compositions useful in the preparation of simulated natural substances, such as stone-like and mineral-like materials. In particular the present invention involves the use of specific crosslinked polymers together with certain thermoplastic matrices to provide composite plastics compositions having a mineral-like appearance, especially an appearance similar to that of granite.

There currently exists a need for synthetic materials that mimic the appearance of mineral-like or ceramic-like materials, such as natural stone, and in particular granite, for use in the manufacture of flooring, tiles, counter tops, sinks, spas, sanitaryware, architectural articles and other ornamental materials. For example, acrylic "granite" sheet products useful in spas, sanitaryware and outdoor applications are currently prepared by cell or continuous casting processes where small granulates are suspended in monomer or monomer/polymer mixtures and then "cured," such as is described in U.S. Patent No. 5,304,592 and WO 97/14749. These thermoset materials can not be further fabricated by conventional thermoplastic processing methods (such as sheet extrusion and injection molding operations); furthermore, the thermoset processes currently require special handling steps or raw material limitations to uniformly suspend the granulates in the polymerizing matrix during the "cure" step. Reuse and recycling of waste and off-grade materials, such as trimmings, from prior art sheet materials is impractical due to the inherent

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intractability of the thermoset materials. Prior art "granite" sheet products prepared by casting methods generally have poor impact strength, for example brittleness, and are limited in their adaptability to various formulation or processing methods for producing final articles. In addition, the prior art materials often provide poor adhesion to substrate surfaces resulting in subsequent "delamination" of composite articles.

It is, therefore, desirable to be able to provide thermoplastic materials that may be fabricated by conventional thermoplastic equipment (such as extrusion or injection molding) for use in spas and outdoor architectural applications; there is a need for an economical and efficient method for reuse and recyling of waste materials that is not available with current thermoset casting processes.

The problem addressed by the present invention is to overcome the deficiencies of prior methods used to prepare mineral-like plastics by providing materials that are processable by less labor intensive methods, such as coextrusion or extrusion, while also improving the economics of the processing by allowing for reuse and recycling of waste materials.

The present invention provides a composite plastics composition comprising a particulate crosslinked polymer dispersed within a thermoplastic matrix, wherein (a) the composite plastics composition comprises 10 to 45 weight percent of the crosslinked polymer, based on the weight of the composite plastics composition, and the crosslinked polymer has a particle size substantially from 0.2 to 1.2 millimeters; (b) the crosslinked polymer comprises 0.1 to 15 weight percent inert filler and 0.1 to 20 weight percent

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crosslinker, based on the total weight of crosslinked polymer; and (c) the crosslinked polymer is visually differentiable from the thermoplastic matrix.

The present invention further provides a process for preparing a composite plastics composition comprising (a) preparing a crosslinked polymer comprising 0.1 to 15 weight percent inert filler and 0.1 to 20 weight percent crosslinker, based on the weight of crosslinked polymer; (b) comminuting the crosslinked polymer to particles having a particle size substantially from 0.2 to 1.2 millimeters; (c) dispersing 10 to 45 weight percent of the particles of crosslinked polymer within 55 to 90 weight percent of a thermoplastic matrix by a heat processing treatment; and (d) recovering the composite plastics composition as a particulate material.

In another aspect, the present invention provides a composite plastics composition comprising a particulate crosslinked polymer dispersed within a thermoplastic matrix, wherein (a) the composite plastics composition comprises more than 20 and up to 40 weight percent of the crosslinked polymer, based on the weight of the composite plastics composition, and the particle size of the crosslinked polymer is substantially from 0.3 to 1.2 millimeters; (b) the crosslinked polymer comprises(i) from 95 to 99.5 weight percent (meth)acrylic monomer units selected from one or more of methyl methacrylate, ethyl acrylate and acrylic acid; (ii) from 0.5 to 5 weight percent crosslinker units selected from one or more of allyl methacrylate, ethylene glycol dimethacrylate and divinylbenzene; and (iii) from 0.3 to 5 weight percent inert filler selected from one or more of titanium dioxide, iron oxide, alumina, carbon black, pigments and silica,

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based on the total weight of crosslinked polymer; (c) the thermoplastic matrix comprises (i) 50 to 60 weight percent poly(alkyl (meth)acrylate) comprising a copolymer of 80 to 99 weight percent methyl methacrylate monomer units and 1 to 20 weight percent ( $C_1$ - $C_{10}$ ) alkyl acrylate monomer units, based on the weight of poly(alkyl (meth)acrylate); and (ii) 40 to 50 weight percent impact modifier comprising a multistage sequentially produced polymer, based on the weight of thermoplastic matrix; and (d) the crosslinked polymer is visually differentiable from the thermoplastic matrix.

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In other aspects, the present invention provides a plastics composite composition prepared according to the process described above and an article of manufacture comprising an extruded sheet material resulting from extrusion of the composite plastics composition described above.

The process of the present invention is useful for preparing a range of composite plastics compositions suitable for use in forming simulated mineral-like articles. Common to each of the composite compositions is the dispersion of a particulate crosslinked polymer within a thermoplastic matrix. We have found that composite plastics compositions based on selected crosslinked polymers, having selected crosslinking levels and a selected particle size range, result in unexpectedly improved extrusion process performance and the ability to provide "granite-like" plastics articles as compared with prior art plastics using cell-casting processes.

As used herein, the term "thermoplastic" refers to polymers that are reversibly deformable (able to be softened) after being heated above their softening or glass transition temperatures and then cooled; these materials

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are capable of being repeatedly melt processed in plastic manufacturing machinery such as, for example, injection molding, extrusion, blow molding, compression molding and rotational molding. As is generally accepted by those skilled in the art, thermoplastic polymers include, for example, acrylonitrile/butadiene/styrene (ABS) terpolymer, acrylonitrile/styrene/acrylate (ASA) copolymer, polycarbonate, polyester, methyl methacrylate/butadiene/styrene (MBS) copolymer, high impact polystyrene (HIPS), acrylonitrile/acrylate copolymer, acrylonitrile/methyl methacrylate copolymer, impact modified polyolefins, impact modified polyvinyl chloride (PVC) and impact modified polymethacrylates.

As used herein, the term "thermoset" refers to polymers that are irreversibly deformable after they have been prepared in an initial configuration, that is, once the polymer is formed by chemical crosslinking (usually thermally induced) it is no longer amenable to thermal processing into other physical forms. As is generally accepted by those skilled in the art, thermoset polymers are polymers that include crosslinking as part of their preparation or have been subjected to crosslinking reactions as part of a post-treatment step, for example, allyl ester polymers, epoxy resins, crosslinked acrylic polymers and crosslinked styrenic polymers produced by suspension, emulsion, continuous-cast or cell-cast polymerization methods.

As used herein, the term "thermoforming" refers to the processing of polymers into 3-dimensional molded forms from flat plastic preformed materials, such as film or sheet, under the influence of heat, pressure or vacuum or combinations thereof.

As used herein, the term "particulate material" refers to any material in the form of separate Particles or to any material in the form of separate particles beads;

to any material in the form as; for example, pellets, beads,

divided fragments, and as: granules and chips. "alkyl (meth) acrylate" refers

the term

As used herein to either the term "Imerhiacrulic" refere to either the term WO 98159006 to elther the term "(meth)acrylic" refers to either similarly! powders, granules and chips. acryllc or methacryllc acid and the corresponding

acryllc or methacryllc acid and amides. As used herein, all

acryllc or methacryllc acid and amides.

derivatives, referred to will be evereded in weight nor one

mercentages referred to will be evereded in weight nor one acrylic or methacrylic acid and the corresponding derivatives; such as esters of amides. As used nerein, all the expressed in weight percent to will be expressed in marking percentages referred with a final marking percentages. percentages reserved weight of polymer or composition

(%), Dased on total weight of polymer of composition the weight of polymer of composition the as used herein, as us term "copolymer" or copolymer material refers to polymer compositions containing units of two or more monomers or compositions containing units of two or more monomers or more monomers or two or more monomers or more monomers or more monomers or two or more monomers or more monomers or more monomers or more monomers or more monomers. 5 Compositions containing units of two or more monomers of two or more monomers of two or more monomers and the containing units of two or more monomers and the containing units of two or more monomers  monomer types. As used nerein, extrusion prenaed and refer to "extrusion compounded" are used synonymously and refer to "extrusion compounded" are used to "extrusion compounded" are use exclusion compounded of two materials by melt extrusion.

The intimate mixing of two materials of the organization of the orga The composite plastics compositions of the present invention use selected thermonizetic materials the latter providing invention use selected the colored the selected the se 10 Invention use selected crosslinked polymers in combination the latter providing the latter pr With Selected thermoplastic materials, for evample thermoplastic matrix.

a thermoplastic invention include the thermoplastic matrix. a thermoplastic matrix. Crosslinked polymers userut in the present invention for example, crosslinked vinyl present invention include, from monoethylenically unsaturated

polymers (prepared from monoethylenically polymers) polymers (prepared from monoethylenically unsaturated multifunctional crosslinking monomers)
monomers and various multifunctional remains and various multifunctional remains and remains and crossing an 15 monomers and various multitunction polymers (such as polyepoxy and crosslinked condensation polymers of the condensation polymers. and crossinked condensation polymers poly(butylene for example, poly(butylene resins and polyesters, terephthalate) and poly(ethylene terephthalate)). cerephonalacel and polyhernytene class of crosslinked rhermoset polymers represent one class. 20 Suitable monoethylenically unsaturated monomers useful polymers useful in the present invention. in preparing particulate crosslinked polymers of the In preparing particulate crossilnked polymers of the ethylene vinylaromatic monomers, ethylene present invention include vinylaromatic monomers, ethylene 25 30

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and substituted ethylene monomers.

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Suitable vinylaromatic monomers include, for example, styrene and substituted styrenes, such as  $\alpha$ -methylstyrene, vinyltoluene, ortho-, meta- and para-methylstyrene, ethylvinylbenzene, vinylnaphthalene and vinylxylenes. The vinylaromatic monomers can also include their substituted counterparts, for example, halogenated derivatives, that is, containing one or more halogen groups (such as fluorine, chlorine and bromine).

Another class of suitable monoethylenically unsaturated monomers is ethylene and substituted ethylene monomers, for example:  $\alpha$ -olefins such as propylene, isobutylene and long chain alkyl  $\alpha$ -olefins (such as (C<sub>10</sub>-C20) alkyl olefins); vinyl alcohol esters such as vinyl acetate and vinyl stearate; vinyl halides such as vinyl chloride, vinyl fluoride, vinyl bromide, vinylidene chloride, vinylidene fluoride and vinylidene bromide; vinyl nitriles such as acrylonitrile and methacrylonitrile; acrylic acid and methacrylic acid and derivatives such as corresponding amides and esters; maleic acid and derivatives such as corresponding anhydride, amides and esters; fumaric acid and derivatives such as corresponding amides and esters; itaconic and citraconic acids and derivatives such as corresponding anhydrides, amides and esters.

A preferred class of monomers useful in preparing the crosslinked polymers of the present invention are (meth) acrylic monomers, particularly  $(C_1-C_{22})$  alkyl (meth) acrylate monomers. Examples of the alkyl (meth) acrylate monomer where the alkyl group contains from 1 to 6 carbon atoms are methyl methacrylate (MMA), methyl acrylate and ethyl acrylate (EA), propyl methacrylate,

butyl methacrylate (BMA), butyl acrylate (BA), isobutyl butyl acrylate (BMA), butyl acrylate methacrylate (BMA), and circlohevil methacrylate DUCYL mechacrylate (IBMA), hexyl and cyclohexyl methacrylate methacrylate onexyl acrylate and compinations uneres where the fixamples of alkyl (meth) acrylate monomers where cyclohexyl acrylate and combinations thereof. alkyl group contains from 7 to 22 carbon atoms are 2alkyl group contains (EHA), 2-ethylhexyl methacrylate (EHA), ethylhexyl acrylate methacrylate methacrylate methacrylate ethylhexyl acrylate methacrylate WO 98/59006 etnylnexyl acrylate (tnA) / Lecnylnexyl methacrylate isodecyl methacrylate isomor mirror methacrylate methacrylate isomor mirror mechacrylate, decyl mechacrylate, isomer mixture), isomer (IUNIA, Dased on Dianched (CIO) alkyl Isomer mixture), as dodecyl methacrylate, tridecul methacrylate, undecyl methacrylate) undecyl methacrylate, tridecyl methacrylate, methacrylate (also known as myristyl methacrylate) pentadecyl methacrylate and combinations thereof. pencauery mechacrylate and comprhations thereor. Also useful are: dodecyl-pentadecyl methacrylate useful are: 5 userul are of linear and branched isomers of dodecyl mixcure or tetradecyl and pentadecyl methacrylates; and tridecyl tridecyl, tetradecyl and pentadecyl methacrylate (LMA), a mixture of dodecyl lauryl-myristyl methacrylate Additional (C7-C22) alkyl (meth) acrylate monomers 10 include hexadecyl methacrylate (also known as cetyl methacrylate), hebtadechi methacrylate, octadechi methacrylate), and tetradecyl methacrylates. methacrylate (also known as steary) methacrylate), meunacrylate, behenyl nonadecyl methacrylate, nonadecy nonauecyl mernacrylare elcosyl mernacrylare penenyl thereof; also useful are methacrylate and combinations thereof; also useful methacrylate and combinations methacrylate and complhations thereof, and cetyl-elcosyl methacrylate cetyl-elcosyl methacrylate 15 octadecyl, and eicosyl methacrylate; and cetyl-stearyl octagecyl and elcosyl methacrylate; and cetyl-stearyl hexadecyl and octadecyl methacrylate (SMA); a mixture of methacrylate Suitable crosslinking monomers include: 20 ethylene glycol etnylene grycol ormethacrylate, brobylene grycol diacrylate and dimethacrylate, dimethacrylate and diacrylate glycidyl methacrylate dimethacrylate bridging and diacrylate dimethacrylate methacrylate. 25 divinylbenzene, triallyl isocyanutate, N 30

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(hydroxymethyl)acrylamide, allyl acrylate, allyl methacrylate, N,N'-methylene diacrylamide and dimethacrylamide, triallyl citrate, trimethylolpropane triacrylate, trimethylolpropane trimethacrylate, and diethyleneglycol divinyl ether. Preferred crosslinkers are allyl methacrylate, ethylene glycol dimethacrylate and divinylbenzene. The amount of crosslinking monomer is generally from 0.1 to 20%, typically from 0.5 to 10%, preferably from 0.5 to 5%, more preferably from 1 to 4% and most preferably from more than 1.5% up to 3%, based on the total weight of the crosslinked polymer, that is, combined weight of monoethylenically unsaturated monomer and the crosslinking monomer.

Suitable free-radical initiators useful in the present invention are any of the well known free-radical-producing 15 compounds such as peroxy and hydroperoxy initiators, including, for example, acetyl peroxide, benzoyl peroxide, lauroyl peroxide, caproyl peroxide, cumene hydroperoxide, 1,1-di(tert-butylperoxy)-3,3,5-trimethylcyclohexane, 20 tert-butyl peroxyisobutyrate, tert-butyl peracetate, tertbutyl peroxypivalate (TBPV) and tert-butyl peroctoate (TBP, also known as tert-butylperoxy-2-ethylhexanoate). useful, for example, are azo initiators such as azodiisobutyronitrile (AIBN), azodiisobutyramide, 2,2'-azo-25 bis (2, 4-dimethylvaleronitrile), azo-bis ( $\alpha$ methylbutyronitrile) and dimethyl-, diethyl- or dibutyl azo-bis(methylvalerate). The initiator concentration is typically between 0.01 and 5%, preferably from 0.05 to 2% and more preferably from 0.1 to 1%, by weight based on the 30 total weight of the monomers. In addition to the initiator, one or more promoters may also be used; preferably the promoters are hydrocarbon-soluble.

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Alternatively, little or no free-radical initiator may be used and the polymerization is then thermally induced by heating the monomer mixture.

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The crosslinked polymer also contains inert filler that may be conveniently added to a cell casting syrup before starting the polymerization. Suitable inert fillers, include, for example, alumina (including hydrated forms), titanium dioxide, zinc oxide, zinc sulfide, iron oxide, barium sulfate, zirconium silicate, strontium sulfate, calcium carbonate, carbon black, powdered glass, silica, clay and talc. Preferred inert fillers include titanium dioxide, iron oxide, carbon black, silica, alumina, pigments and combinations thereof; most preferred is titanium dioxide. Conventional pigments or colorants include organic dyes (for example azo, anthraquinone, perinone, quinoline, pyrazolone, dioxazine, isoindoline, phthalocyanine, quinacridone and coumarin derivatives) and inorganic salts (cadmium, chromates, iron blue, cobalt blue and ultramarine blue). Typically, the amount of inert filler is from 0.1 to 15%, preferably from 0.2 to 10% and more preferably from 0.3 to 5%, based on the total weight of crosslinked polymer. The purpose of the inert filler is to enhance the visual differentiation between the crosslinked polymer component and the thermoplastic matrix component to provide the "mineral-like" or "granite-like" appearance of the finished composite plastics composition.

Optionally, the crosslinked polymer may contain conventional adjuvants, known to those skilled in the art, for various purposes, for example: dyes, pigments, antioxidants, ultraviolet stabilizers, dispersants, processing aids (such as spray drying aids, lubricants and mold-release agents), flame retardants, polymerization

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rate-moderators and viscosity controlling materials. In addition, these same conventional adjuvants may be conveniently added at later stages in the production of the composite plastics composition, for example, during preparation of the thermoplastic material used as the thermoplastic matrix (described below) or during the production of the composite plastics composition itself where the crosslinked polymer and thermoplastic material are extrusion blended or compounded.

Typically, the particulate crosslinked polymers used according to the present invention are selected from the group consisting of crosslinked poly(alkyl (meth)acrylate), crosslinked poly(vinylaromatic), crosslinked polyester, crosslinked polyolefin, mixtures and corresponding copolymers thereof. Preferred crosslinked polymers are crosslinked poly(alkyl (meth)acrylates) and crosslinked polystyrene where the crosslinked polymer comprises 90 to 99.5% monomer units selected from one or more of vinylaromatic monomer and (meth)acrylic monomer and 0.5 to 10% crosslinker, based on the weight of crosslinked polymer.

The crosslinked polymer material is conveniently prepared by a cell casting process, for example. In a typical cell casting process a monomer syrup containing the monoethylenically unsaturated monomers, a crosslinking agent and a free-radical initiator is subjected to a temperature suitable for polymerization, depending on the monomers and initiators used. Preferred monoethylenically unsaturated monomers are alkyl (meth)acrylate monomers, for example,  $(C_1-C_4)$  alkyl (meth)acrylates, such as MMA (typically 80 to 99.5% and preferably from 95 to 99.5%, based on the total weight of monomers), methylacrylate or

EA (typically from n s to 5% hased on the total weight the form of the total weight and the total weight rom of the total weight rom of the total weight rom of the total weight and the total weight rom of the total weight rom of the total weight and the total weight rom of the more preserably Lium v. 3 to 36; based on the Local well
of monomers); propyl methacrylate;
of monomers); thereof or monomers; hereof.

combinations included the included complinations included, typically from zero to 2%, based on acid may be included. WO 98/59006 ocal weight of monomers. Is prepared, such as by

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about 1.2 millimeters

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the narti crosslinked polymer is substantially from 0.25 to 1.2 mm. 10 CLOSSIANKEU POLYMET LE SUDSTANCIALLY FROM 0.3 to 1.2 mm (16 to 50 mg/s) Preferably from 0.3 to 1.2 mm (10 to 40 mg/s) (16 to 60 mesh); from 0 4 to 1 0 mm (10 to 40 mg/s) nesh), are preferably from 0.4 to 1.0 mm (20 to 40 mesh) mesh), more preferably from 0.4 to 0.85 mm (20 to 40 mesh).

and most preferably from of the constant of the c and most preferably from U.4 to U.83 mm (20 40 mear is when the particle 370 ---when the particle size of the crosslinked polymer is mesh, smaller than about 10 mesh or larger than about 10 mesh, smaller than about 10 mesh or larger than about 10 mesh or larger than about 10 mesh or larger than about 10 mesh, and a size of the crosslinked polymer is mesh, and the crosslinked polymer i 15 smaller than about 10 mesh or larger than about 10 mesh, the crosslinked polymer into the the extrusion blending of the crosslinked for evample the extrusion becomes problems to the thermonisetic matrix thermoplastic matrix becomes problematic, thermoplastic matrix thermoplastic matrix pecomes proplematic, for example or and the desired "speckle-like" or stranding problems, and the desired "stranding" problems are stranding to the stra scranging proplems, and the desired speckle-like of the may not be readily attained in the maineral-like effect may not be readily attained. 20 thermoplastic matrix of the present invention includes thermoplastic matrix of the present invention and consistent thermoplastic matrix of the present invention and consistent thermoplastic matrix of the present invention and consistent thermoplastic matrix of the present invention includes the present invention in the present inv final decorative or architectural article. tnermoplastic matrix or the present invention includes

tnermoplastic matrix of the polymers and copolymers

example, alkyl (meth) acrylate polymers and copolymers 25 30

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having little or no crosslinker. The thermoplastic materials are conveniently prepared by conventional cell casting or melt extrusion processes and are typically provided in particulate form. In addition, the thermoplastic materials may be prepared by conventional bulk (for example, continuous flow stirred tank reactor (CFSTR) processes), solution, suspension or emulsion polymerization techniques, in which case conventional isolation processes used to recover the polymer in particulate form include, for example filtration, coagulation and spray drying. Conditions for polymerization of monomers to produce the thermoplastic material are similar to those described above for cell casting polymerization, except that little or no crosslinker is involved; when melt extrusion methods are used, the thermoplastic material is conveniently isolated in a particulate form, such as pellets or granules. Suitable alkyl (meth) acrylate monomers include, for example, the  $(C_1-C_{22})$  alkyl (meth) acrylates monomers described above for the use in preparing the crosslinked polymers. Preferably the thermoplastic matrix material comprises a polymer or copolymer of methyl methacrylate (MMA); typical copolymers include 80 to 99% MMA and 1 to 20%, preferaby 1 to 5%, of  $(C_1-C_{10})$  alkyl acrylates, such as methyl acrylate and ethyl acrylate (EA). A suitable commercially available poly(methyl methacrylate) type thermoplastic matrix material is Plexiglas V-grade molding powder, such as Plexiglas VO-825, VO-825HID, VO-45, VO-52 and VO-920.

Additional suitable thermoplastic polymers include, for example, ABS terpolymer, ASA copolymer, polycarbonate, polyester (such as poly(butylene terephthalate) and

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poly(ethylene terephthalate)), MBS copolymer, HIPS, acrylonitrile/acrylate copolymer, acrylonitrile/methyl methacrylate copolymer, impact modified polyolefins and impact modified PVC. More preferably the thermoplastic matrix material is an impact modified polymethacrylate.

The thermoplastic matrix may be based entirely on the aforementioned thermoplastic polymers or the thermoplastic matrix may optionally contain modifier additives, such as impact modifiers, in addition to the aforementioned thermoplastic polymers. In general, the thermoplastic matrix comprises 50 to 100% poly(alkyl (meth)acrylate) and zero to 50% impact modifier, based on the weight of thermoplastic matrix. Typically, the thermoplastic matrix contains 25 to 100%, preferably 30 to 70%, more preferably 45 to 60% and most preferably 50 to 60%, thermoplastic polymer, such as poly(alkyl (meth)acrylate); and zero to 75%, preferably 30 to 70%, more preferably 40 to 55% and most preferably 40 to 50%, impact modifier, based on the total weight of thermoplastic matrix. Suitable impact modifiers include, for example, elastomeric polymers such as graft polymers of methyl methacrylate and styrene on butadiene (MBS), graft polymers of acrylonitrile and styrene on butadiene (ABS), copolymers of styrene and butadiene, poly(butyl acrylate) and poly(2-ethylhexyl acrylate) and copolymers thereof, copolymers of butyl acrylate and methyl acrylate, terpolymers of butyl acrylate/styrene/methyl methacrylate, chlorinated polyethylene, acrylate block polymers, styrene block polymers, ethylene/propylene/diene copolymer (EPDM), ethylene/vinyl acetate copolymers, acrylonitrile/styrene/acrylic ester terpolymers, styrenemaleic anhydride copolymers and core-shell multi-stage

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sequentially-produced polymers. Preferred impact modifiers include MBS polymers, core-shell multi-stage sequentially-produced polymers, and styrene and acrylate block polymers.

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Typical core-shell multi-stage polymers useful as impact modifiers for thermoplastic polymers include, for example, those disclosed in U.S. Patent No. 3,793,402. The multi-stage sequentially-produced polymers are characterized by having at least three stages in a sequence of a non-elastomeric first stage, an elastomeric second stage and a non-elastomeric third stage. Preferably the first stage polymer has a glass transition temperature  $(T_{\mathbf{q}})$ greater than about 25°C, preferably greater than about 60°C, and is a polymer comprising (a) 70 to 100%, preferably 85 to 99.9%, monomer units of one or more of  $(C_1-C_4)$  alkyl (meth) acrylates (preferably MMA and EA), styrene, substituted styrene, acrylonitrile and methacrylonitrile, based on the weight of the first stage polymer; (b) zero to 10%, preferably 0.05 to 5% and more preferably 0.5 to 2%, monomer units of a copolymerizable polyfunctional crosslinking monomer; (c) zero to 10%, preferably 0.05 to 5%, monomer units of a copolymerizable graftlinking monomer, such as the allyl, methallyl and crotyl esters of monoethylenically unsaturated monocarboxylic and dicarboxylic acids, for example allyl methacrylate; and (d) zero to 30%, preferaby 0.1 to 15%, of other copolymerizable monoethylenically unsaturated monomers.

The second stage polymer is prepared in the presence of the first stage polymer and preferably has a  $T_{\rm g}$  of less than about 25°C, preferably less than about 10°C, if it were to be prepared alone, that is in the absence of the presence of the first stage polymer. Typically the second

stage polymer comprises (a) 50 to 99.9%, preferably 70 to 99.5%, monomer units of one or more of  $(C_1-C_8)$  alkyl (meth) acrylates, (preferably  $(C_1-C_4)$  alkyl acrylates, particularly BA), butadiene and substituted butadienes (such as isoprene, chloroprene and 2,3-dimethylbutadiene), 5 based on the weight of the second stage polymer; (b) zero to 49.9%, preferbly 0.5 to 30%, monomer units of a copolymerizable monoethylenically unsaturated monomer, such as styrene and substituted styrene; (c) zero to 5% monomer units of a copolymerizable polyfunctional crosslinking 10 monomer, such as ethylene glycol diacrylate and divinylbenzene; and (d) 0.05 to 5% monomer units of a copolymerizable graftlinking monomer, such as those described above.

The third stage polymer is prepared in the presence of 15 the product of the first and second stage polymers and preferably has a  $T_q$  greater than about 25°C, preferably greater than about 50°C, if it were to be prepared alone, that is in the absence of the presence of the product of the first and second stage polymers. Typically the third 20 stage polymer comprises (a) 70 to 100%, preferably 85 to 99.9%, monomer units of one or more of  $(C_1-C_4)$  alkyl (meth)acrylates, styrene, substituted styrene, acrylonitrile and methacrylonitrile, based on weight of the second stage polymer; (b) zero to 30, preferably 0.1 to 25 15%, monomer units of a copolymerizable monoethylenically unsaturated monomer; (c) zero to 10%, preferably 0.05 to 5%, monomer units of a copolymerizable polyfunctional crosslinking monomer; and (d) zero to 5% monomer units of a copolymerizable graftlinking monomer, such as those 30 described above.

Typically the multi-stage polymer comprises at least

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three stages in a sequence of 10 to 40%, preferably 20 to 40%, of the first stage; 20 to 60%, preferably 30 to 50%, of the second stage; and 10 to 70%, preferably 20 to 50%, of the third stage, based on the total weight of the multistage polymer.

Other copolymerizable mononethylenically unsaturated monomers referred to above include alkyl (meth) acrylates, alkoxy (meth) acrylates, hydroxyalkyl (meth) acrylates, cyanoethyl (meth) acrylates, (meth) acrylamides, (meth)acrylic acids and vinylaromatics, for example.

Typical copolymerizable polyfunctional crosslinking monomers include, for example, ethylene glycol dimethacrylate and diacrylate, 1,3-butylene glycol dimethacrylate and diacrylate, 1,4-butylene glycol dimethacrylate and diacrylate, propylene glycol dimethacrylate, divinylbenzene, trimethylolpropane triacrylate, trimethylolpropane trimethacrylate and diethyleneglycol divinyl ether. Preferred crosslinkers are ethylene glycol dimethacrylate and divinylbenzene. For the purpose of the preparing the multi-stage sequentiallyproduced polymers, the crosslinkers are selected from polyfunctional monomers where the crosslinking groups have similar reactivities, such as those just described. Polyfunctional "crosslinking" monomers where the functional groups have different reactivities, such as allyl methacrylate and methallyl and crotyl esters of monoethylenically unsaturated monocarboxylic and dicarboxylic acids, are referred to as "graftlinking" monomers when used in preparation of the multi-stage sequentially-produced polymers described herein; however they are considered to be among the general group of "crosslinking" monomers useful in the preparation of other

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crosslinked polymers, such as those used to provide the particulate crosslinked polymers of the present invention.

Preferably, the multi-stage polymer is an emulsion polymer comprising monomer units of methyl methacrylate in the first stage, monomer units selected from one or more of butadiene, styrene and  $(C_1-C_8)$  alkyl acrylates in the second stage, and monomer units selected from one or more of  $(C_1-C_4)$  alkyl methacrylates, styrene and acrylonitrile in the third stage.

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The multi-stage polymers may be prepared by a number of well-known techniques, for example, by emulsion polymerization, where a subsequent stage monomer mixture is polymerized in the presence of a previously formed product. For example, "sequentially produced" or "sequentially polymerized" refer to polymers prepared in aqueous dispersion or emulsion form where successive monomer charges are polymerized onto or in the presence of a preformed latex prepared by the polymerization of a prior monomer charge and stage.

The blend of the multi-stage polymer (as an impact modifier) with the thermoplastic polymer can be accomplished by any known method, such as dispersing the multi-stage polymer in a monomer mixture used to prepare the thermoplastic polymer or in a monomer-polymer syrup mixture which together would provide the desired thermoplastic polymer. Alternatively, the multi-stage polymer can placed in a casting mix in the form of an emulsion, suspension or dispersion in water or in an organic carrier; the water or organic carrier can then be removed before or after casting into the final thermoplastic polymer form. The multi-stage polymer may also be blended with the thermoplastic polymer by extrusion

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compounding. Additional specific methods and details of blending the thermoplastic polymer and impact modifiers are disclosed in U.S. Patent No. 3,793,402.

A preferred thermoplastic matrix material is impact modified poly(methyl methacrylate) commercially available as Plexiglas DR101, MI-5 and MI-7 molding powder. Plexiglas (North and South America, Oroglas in Europe and Asia) is a trademark of Rohm and Haas Company, Philadelphia, PA, USA.

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In one embodiment of the present invention, the composite plastics compositions of the present invention are prepared by compounding or blending the crosslinked polymer with the thermoplastic material by dispersing particles of crosslinked polymer within a matrix of thermoplastic material using a suitable heat processing treatment. Suitable heat processing treatments include, for example, extrusion blending, hot-melt kneading and hotmelt batch mixing. For example, the crosslinked polymer particles may be melt processed by hot melt extrusion blending or compounding with thermoplastic particles and the resultant composite plastic composition is recovered in particulate form, such as pellets. Hot-melt batch mixing may include dispersion of the crosslinked particles into a melt of the thermoplastic matrix in a conventional batch mode, such as a stirred kettle; alternatively, the thermoplastic may be heated and mixed with a carrier solvent, such as toluene, and then batch mixed with the crosslinked particles, followed by flash evaporation of the solvent; the resultant composite plastic composition can then be granulated by conventional means. The resultant pellets are typically translucent to clear granules containing distinct "speckle-like" particles, the latter

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being representative of the crosslinked polymer used in the compounding process.

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Typically 10 to 45%, preferably 15 to 40% and more preferably greater than 20 to 40%, crosslinked polymer particles are combined with 55 to 90%, preferably from 60 to 85% and more preferably from 60 to less than 80%, thermoplastic particles, based on the combined weight of crosslinked polymer and thermoplastic particles. The extrusion blending process allows for a relatively uniform distribution of the thermoset material throughout the thermoplastic matrix without requiring similar densities of the two materials, as is typically required in conventional casting processes used in the prior art. Preferably a low-shear screw design is used to minimize processing problems, such as residual monomer odor, vent plugging, flowability problems and stranding problems.

Extrusion temperatures are typically in the range of 220° to 260°C and the extruder screw design should provide low shear to prevent the loss of the distinct granite-like appearance and to maintain particle integrity. The cooling bath temperature (strand takeup) is typically maintained at about 60° to 70°C and the vent section of the extruder may be vacuumed to minimize pelletization problems.

During the extrusion blending process, control of the particle size of crosslinked polymer is important for optimum processing and ultimate "granite-like" appearance of the finished articles. When the particles are very large, that is, greater than about 1.2 mm or 16 mesh, "stranding" problems may develop during sheet extrusion or extrusion blending processes. When the particles are much smaller than about 0.2 mm or 70 mesh, the extruder die may plug frequently and stranding problems may develop; in

addition, poor "granite-like" appearance occurs.

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If the crosslinking level is too low, that is, below about 0.5%, the crosslinked polymer particles may "smear" into thermoplastic matrix material after multiple passes during extrusion, resulting in blurred or non-differentiated mineral-like appearance. If the crosslinking level is too high, that is, above about 10%, the yield of desired particle size during the comminution step is reduced due to generation of fine particles smaller than about 0.2 mm (70 mesh) and the sheet surfaces of the final article may be rough and require a secondary treatment such as press polishing. Preferably, the crosslinker level is from 0.5 to 5% and more preferably from 1 to 4%.

An example of the importance of the degree of crosslinking in the particulate material regarding the maintenance of particle integrity during processing can be seen in the multiple extrusion processes typically involved in preparing monolithic and multilayed composite sheets. When prior art particles ("C" particles from Safas Corp., mixture of thermoplastic and thermoset material - see Example 3E-3G) were extrusion blended with thermoplastic matrix material at a low concentration (less than 10%), the final sheet provided a hazy speckle-like appearance (not "granite-like"). At a 25% use rate, about half of the "C" particles were smeared into thermoplastic matrix resulting in a non-differentiated final product appearance. When the use rate of "C" particles was above 30%, extrusion process problems were observed (power surges, poor flow, stranding problem, extruder pluggage) as well as complete loss of granite-like effect in the composite plastic material. Composite plastic compositions prepared using the "C"

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particles discussed above (thermoset/thermoplastic particles disclosed in U.S. Patent No 5,304,592) undergo loss of granite-like appearance under the extrusion processing conditions described in Example 3, apparently due to insufficient particle integrity.

Simulated mineral articles are prepared from the composite plastics compositions of the present invention by heat treament into a physical form selected from the group consisting of sheet, laminated sheet and molded material. Suitable heat treament processes include, for example, melt extrusion, coextrusion, blow molding, sheet forming and thermoforming.

The composite plastics compositions of the present invention can be extruded into monolithic sheet for indoor or outdoor applications, or coextruded with other highimpact grade thermoplastics such as ABS terpolymer, ASA copolymer, polycarbonate, MBS copolymer, HIPS, acrylonitrile/acrylate copolymer, acrylonitrile/methyl methacrylate copolymer, impact modified polyolefins and impact modified PVC, to produce a multilayer composite sheet useful for spa, sanitary ware, countertops, bathroom and kitchen fixtures, wall decorations and other thermoforming applications. The composite plastics compositions may also be injection molded into different forms for other applications such as facets, frames, door handles, window frames, sinks, shower stalls, building panels, plumbing fixtures, tiles, refrigerator walls, floor coverings and decorative moldings.

The invention is further illustrated by way of example in the following Examples. All ratios, parts and percentages are expressed by weight unless otherwise specified, and all reagents used are of good commercial

quality unless otherwise specified. Abbreviations used in the Examples and Tables are listed below with the corresponding descriptions.

5 MMA = Methyl Methacrylate

EA = Ethyl Acrylate AA = Acrylic Acid

ALMA = Allyl Methacrylate

AIBN = Azodiisobutyronitrile

10 TBP = tert-Butyl Peroctoate

TBPV = tert-Butyl Peroxypivalate

 $TiO_2$  = Titanium Dioxide

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## **Example 1** Preparation of Crosslinked Polymer

A monomer mixture was prepared by combining crosslinker monomer (ALMA), alkyl (meth)acrylate monomer (MMA), (meth)acrylic comonomer (EA, AA), inert filler (TiO2, silica, carbon black), free-radical initiator (AIBN, TBP, TBPV mixture, 0.05%) polymerization rate-moderator (terpinolene, 0.01%) and lubricant (2% stearic acid). All % values are by weight based on total weight of monomers.

The ingredients listed above (monomer mixture) were mixed in a glass casting cell or polyvinyl alcohol (PVA) casting bag and then subjected to a controlled temperature of 60°C for 14 hours, followed by a post-cure (heating at 130°C for 2 hours). The finished crosslinked polymer was recovered by disassembly of the cell or removal of the PVA bag and was then granulated into particulate form using any conventional grinding method, for example, crushing, attrition mill or Cumberland cutter. The granulated crosslinked polymer was then screened to a desired particle size distribution.

Table I summarizes crosslinked polymers (component % based on weight of crosslinked polymer, monomers plus

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crosslinker) evaluated in the composite plastic compositions of the present invention. Crosslinked polymers typically contained less than 1% residual monomer and were screened after comminution to particulate form, with a typical isolated yield of 65 to 80% particles of 0.4 mm to 1mm (-18+40 U.S. standard mesh).

Table I
Compositions of Crosslinked Polymers

ID	Monomersa	Crosslinkerb	Inert
			Filler <sup>C</sup>
1A	94.5/3.1/2.1	0.3	3
1B	93.0/3.0/2.0	2.0	3
1C	93.0/3.1/0.0	3.9	3
1D	95.0/3.1/0.0	1.9	2/2 <sup>d</sup>
1E <b>e</b>	96/4/0	0.0	2.6

a = MMA/EA/AA

- **b** = ALMA

c = Titanium Dioxide

d = Silica/Carbon Black

 $\mathbf{e}$  = Uncrosslinked, weight average molecular weight approx 3 x  $10^6$ 

Example 2 Preparation of Thermoplastic Matrix Material

The thermoplastic matrix material used in evaluating
the composite plastic compositions of the present invention
was impact modified poly(methyl methacrylate) commercially
available as Plexiglas DR101 molding powder from Rohm and
Haas Co, Philadelpia, PA, USA.

Example 3 Preparation of Composite Plastic Composition

The particulate crosslinked polymer (0.4 to 1 mm granules) of Example 1 were extrusion blended (using a single- or twin-screw extruder at 220 to 260°C) with the

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thermoplastic material (pellets of approximately 3 to 6 mm in diameter and length) of Example 2 (in the relative amounts indicated) into the composite plastic composition of the present invention (see Table 2). The pelletized composite plastic compositions were then sheet extruded and evaluated for their aesthetic and processing characteristics. Examples 3A-3D represent the present invention and 3E-3G represent comparative examples that replace the particulate crosslinked polymer of the present invention with thermoplastic/thermoset particles based on U.S. Patent No. 5,304,592 (provided by Safas Corp.).

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Table 2

ID	Crosslinked Polymer	Thermoplastic Material	Sheet Extrusion	Sheet Appearance
3A	Ex 1A ( 35%)	Ex 2 (65%)	Crosslinked particles melted into matrix	No granite texture
3B	Ex 1B (35%)	Ex 2 (65%)	Good processing	Granite texture and smooth surface
3C	Ex 1C (35%)	Ex 2 (65%)	Poor process, stranding and pelletizing problems	Rough surface
3 F **	"C" Particles <sup>a</sup> (25%)	Ex 2 (75%)	Majority of particles melted into matrix	Poor granite texture and poor particle/matri x differentiatio n*
3 G **	"C" Particles <sup>a</sup> (32%)	Ex 2 (68%)	Poor process, stranding and pelletizing problems	No granite texture, opaque*
3н	Ex 1B/1D (< 10%)	Ex 2 (>90%)	Good processing	Transparent, little or no granite texture
3J	Ex 1B/1D (>45%)	Ex 2 (<55%)	Poor process, stranding and pelletizing problems	Rough surface
3K	Ex 1E (25-35%)	Ex 2 (65-75%)	Good processing	No granite texture

\* = appearance from Carver press "button"

\*\* = comparative composite plastic composition, not of
the present invention

a = thermoplastic/thermoset particles from Safas Corp., -25+35 ANN

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**Example 4** Physical Properties of Composite Plastics Compositions

Composite plastics compositions based on different crosslinked polymers (prepared according to Example 1) and the thermoplastic matrix material of Example 2 were fabricated into test samples of sheet (injection molding and sheet extrusion) or film (Carver press) and evaluated for overall appearance and impact strength.

The Falling Dart [dart: 1.36 kilogram (3-pound), 0.63 centimeter, cm (0.25 inch, in) radius; sample of 15.2 cm (6 in) x 15.2 cm (6 in) x 0.32 cm (0.125 in)] and Notched Izod (23°C, 73°F) tests were conducted according to ASTM method D256 (published by the American Society for Testing and Materials) as measures of impact strength.

Table 3

Composite Plastics Composition	Falling Dart Impact Test Total energy, joules	Notched Izod joule/centimeter (foot- pound/inch)				
	(foot-pounds)					
Ex 1B/1D (15%)/Ex 2	10.4 (7.7)	0.42 (0.79)				
(85%)						
Ex 1B/1D (30-37%)/Ex 2	3.9 (2.9)	0.29-0.30 (0.54-				
(63-70%)		0.56)				

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In addition to the sheet extrusion process used to evaluate the "granite-like" effect, the appearance of the compounded composite material was also visually examined using a "button" prepared on a Carver press according to the following conditions: 65 grams of composite plastic

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composition pellets were placed in an "X" configuration in a 12.7 cm  $\times$  12.7 cm  $\times$  0.25 cm thick (5 in  $\times$  5 in  $\times$  0.1 in thick) aluminum mold between chrome-plated plates; the press was subjected to 1 minute preheat at 188°C (370°F) under  $3.45 \times 10^6$  pascals (Pa) presssure (500 pounds per square inch/psig), 1 minute heat at 188°C (370°F) under  $8.27 \times 10^7$  Pa (12,000 psig) and 1 minute cool at  $10^{\circ}$ C  $(50^{\circ}F)$  under  $8.27 \times 10^{7}$  Pa (12,000 psig). The appearances of the Carver press "buttons" for composite plastic compositions of the present invention and that of the prior art were consistent with those described in Table 3 for Examples 3D and 3G, respectively.

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#### CLAIMS

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1. A composite plastics composition comprising a particulate crosslinked polymer dispersed within a thermoplastic matrix, wherein:

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- (a) the composite plastics composition comprises 10 to 45 weight percent of the crosslinked polymer, based on the weight of the composite plastics composition, and the crosslinked polymer has a particle size substantially from 0.2 to 1.2 millimeters;

  (b) the crosslinked polymer comprises 0.1 to 15 weight percent inert filler and 0.1 to 20 weight percent crosslinker, based on the total weight of
- (c) the crosslinked polymer is visually differentiable from the thermoplastic matrix.

crosslinked polymer; and

- A composite plastics composition according to claim 1 wherein the thermoplastic matrix comprises 50 to 100 weight
   percent poly(alkyl (meth)acrylate) and zero to 50 weight percent impact modifier, based on the weight of thermoplastic matrix.
- 3. A composite plastics composition according to claim 2 wherein the poly(alkyl (meth)acrylate) comprises a copolymer of 80 to 99 weight percent methyl methacrylate monomer units and 1 to 20 weight percent  $(C_1-C_{10})$  alkyl acrylate monomer units, based on total weight of the poly(alkyl (meth)acrylate).
  - 4. A composite plastics composition according to claim 2 or 3 wherein the impact modifier is a multi-stage

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sequentially-produced polymer comprising at least three stages in a sequence of a non-elastomeric first stage, an elastomeric second stage and a non-elastomeric third stage.

- 5 S. A composite plastics composition according to claim 4 wherein the multi-stage polymer is an emulsion polymer comprising monomer units of methyl methacrylate in the first stage, monomer units selected from one or more of butadiene, styrene and (C1-C8) alkyl acrylates in the second stage, and monomer units selected from one or more of (C1-C4) alkyl methacrylates, styrene and acrylonitrile in the third stage.
- 6. A composite plastics composition according to any one of the preceding claims wherein the crosslinked polymer comprises 90 to 99.5 weight percent monomer units selected from one or more of vinylaromatic monomer and (meth)acrylic monomer and 0.5 to 10 weight percent crosslinker, based on the weight of crosslinked polymer.
  - 7. A composite plastics composition according to claim 6 wherein the (meth)acrylic monomer is selected from one or more of methyl methacrylate, methyl acrylate, ethyl acrylate, acrylic acid and butyl methacrylate.
  - 8. A composite plastics composition according to any one of the preceding claims wherein the crosslinker is selected from one or more of allyl methacrylate, ethylene glycol dimethacrylate and divinylbenzene.
  - 9. A composite plastics composition according to any one of the preceding claims wherein the inert filler is

selected from one or more of titanium dioxide, iron oxide, alumina, pigments, carbon black and silica.

- 10. A composite plastics composition according to any one of the preceding claims wherein the particle size of the crosslinked polymer is substantially from 0.3 to 1.2 millimeters.
- 11. A composite plastics composition comprising a 10 particulate crosslinked polymer dispersed within a thermoplastic matrix, wherein:

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- the composite plastics composition comprises more than 20 and up to 40 weight percent of the crosslinked polymer, based on the weight of the composite plastics composition, and the particle size of the crosslinked polymer is substantially from 0.3 to 1.2 millimeters; the crosslinked polymer comprises (i) from 95 to 99.5 weight percent (meth)acrylic monomer units selected from one or more of methyl methacrylate, ethyl acrylate and acrylic acid; (ii) from 0.5 to 5 weight percent crosslinker units selected from one or more of allyl methacrylate, ethylene glycol dimethacrylate and divinylbenzene; and (iii) from 0.3 to 5 weight percent inert filler selected from one or more of titanium dioxide, iron oxide, alumina, carbon black and silica, based on the total weight of crosslinked polymer;
  - (c) the thermoplastic matrix comprises(i) 50 to 60 weight percent poly(alkyl (meth)acrylate) comprising a copolymer of 80 to 99 weight percent methyl methacrylate monomer units and 1 to 20 weight percent

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 $(C_1-C_{10})$  alkyl acrylate monomer units, based on the weight of poly(alkyl (meth)acrylate); and (ii) 40 to 50 weight percent impact modifier comprising a multistage sequentially produced polymer, based on the weight of thermoplastic matrix; and

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- (d) the crosslinked polymer is visually differentiable from the thermoplastic matrix.
- 12. A process for preparing a composite plastics10 composition comprising:
  - (a) preparing a crosslinked polymer comprising 0.1 to 15 weight percent inert filler and 0.1 to 20 weight percent crosslinker, based on the weight of crosslinked polymer;
- (b) comminuting the crosslinked polymer to particles having a particle size substantially from 0.2 to 1.2 millimeters;
  - (c) dispersing 10 to 45 weight percent of the particles of crosslinked polymer within 55 to 90 weight percent of a thermoplastic matrix by a heat processing treatment; and
  - (d) recovering the composite plastics composition as a particulate material.
- 25 13. A process according to claim 12 wherein the particles of step (b) have a particle size substantially from 0.3 to 1.2 millimeters.
- 14. A process according to claim 12 or 13 wherein the heat processing treatment of step (c) is selected from one or more of extrusion blending, hot-melt kneading and hot-melt batch mixing.

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- 15. A composite plastics composition prepared by the process claimed in any one of claims 12 to 14.
- 16. A process for preparing a simulated mineral article comprising forming, with heat treatment of a composite plastics composition as claimed in any one of claims 1 to 11 into a sheet, laminated sheet or molded material.
- 17. A process according to claim 16 wherein the heat treatment is selected from the group consisting of melt extrusion, coextrusion, blow molding, sheet forming and thermoforming.
- 18. An extruded sheet material resulting from extrusion of a composite plastics composition as claimed in any one of claims 1 to 11.
  - 19. A thermoformed product of a composite plastics composition as claimed in any one of claims 1 to 11.

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# INTERNATIONAL SEARCH REPORT

ational Application No

PCT/EP 98/03583 A. CLASSIFICATION OF SUBJECT MATTER B29C47/10 //(C08L101/00,101:00) IPC 6 C08L101/00 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) C08L B29C Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category 5 Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. χ WO 97 14749 A (ICI ACRYLICS INC) 1 24 April 1997 cited in the application see page 9, line 5 - line 25 Further documents are listed in the continuation of box C. Įχ Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of theinternational search Date of mailing of the international search report 26 October 1998 16/11/1998 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Schueler, D Fax: (+31-70) 340-3016



Information on patent family members

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